

# Fundamentals and Application of UV-visible/NIR spectroscopy: A guide to best practices and getting good data

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# JASCO Corporation

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Easton, MD



**JASCO Corporation**  
**Hachioji, Tokyo**  
**Since 1958**



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# Seminar Overview

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- I. UV-Visible spectroscopy theory basics
- II. Instrument design and components
- III. Sampling and experimental guidelines
- IV. Sampling accessories and their related applications



# What can we probe with UV-Vis Spectroscopy?

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Solutions, powders, solids, films

Biomolecules

Semiconductors

Nanostructures

Quantitation

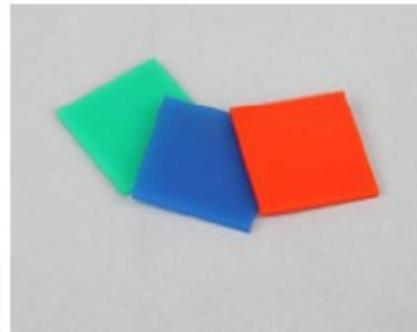
Qualitative

Color Analysis

Thermal melts

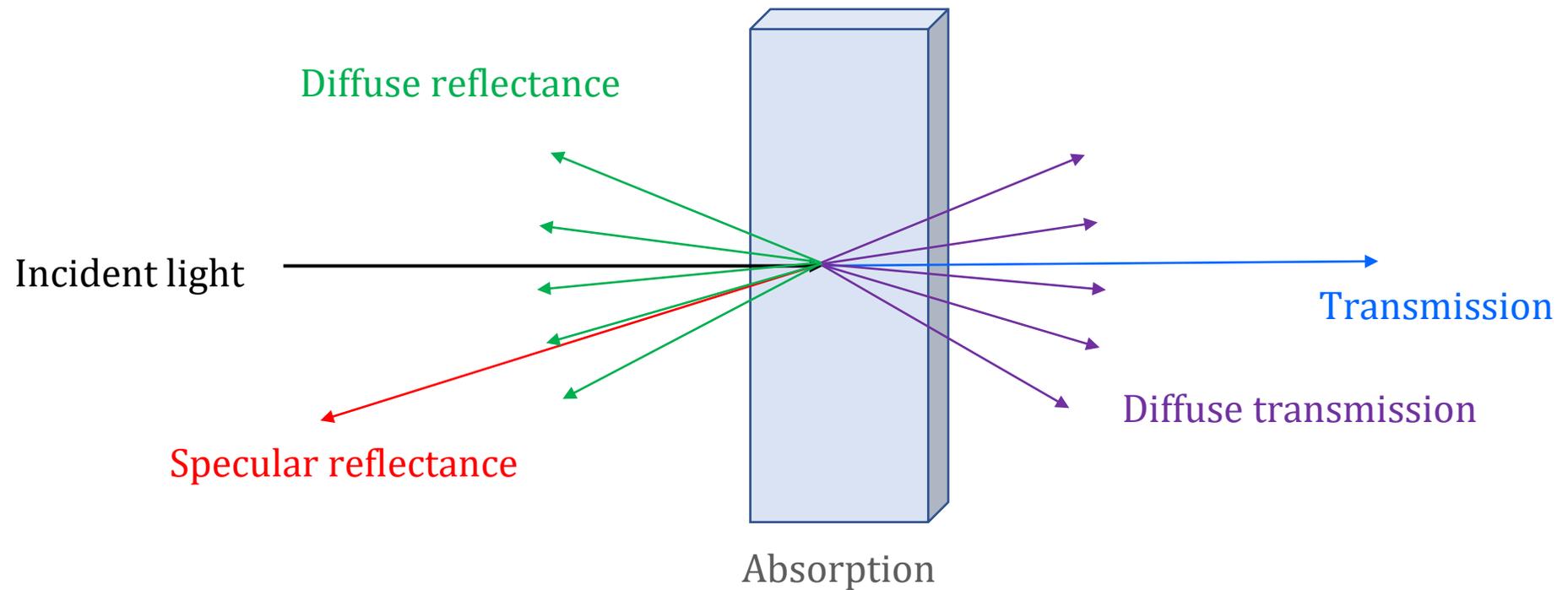
Species presence

Molecular structure

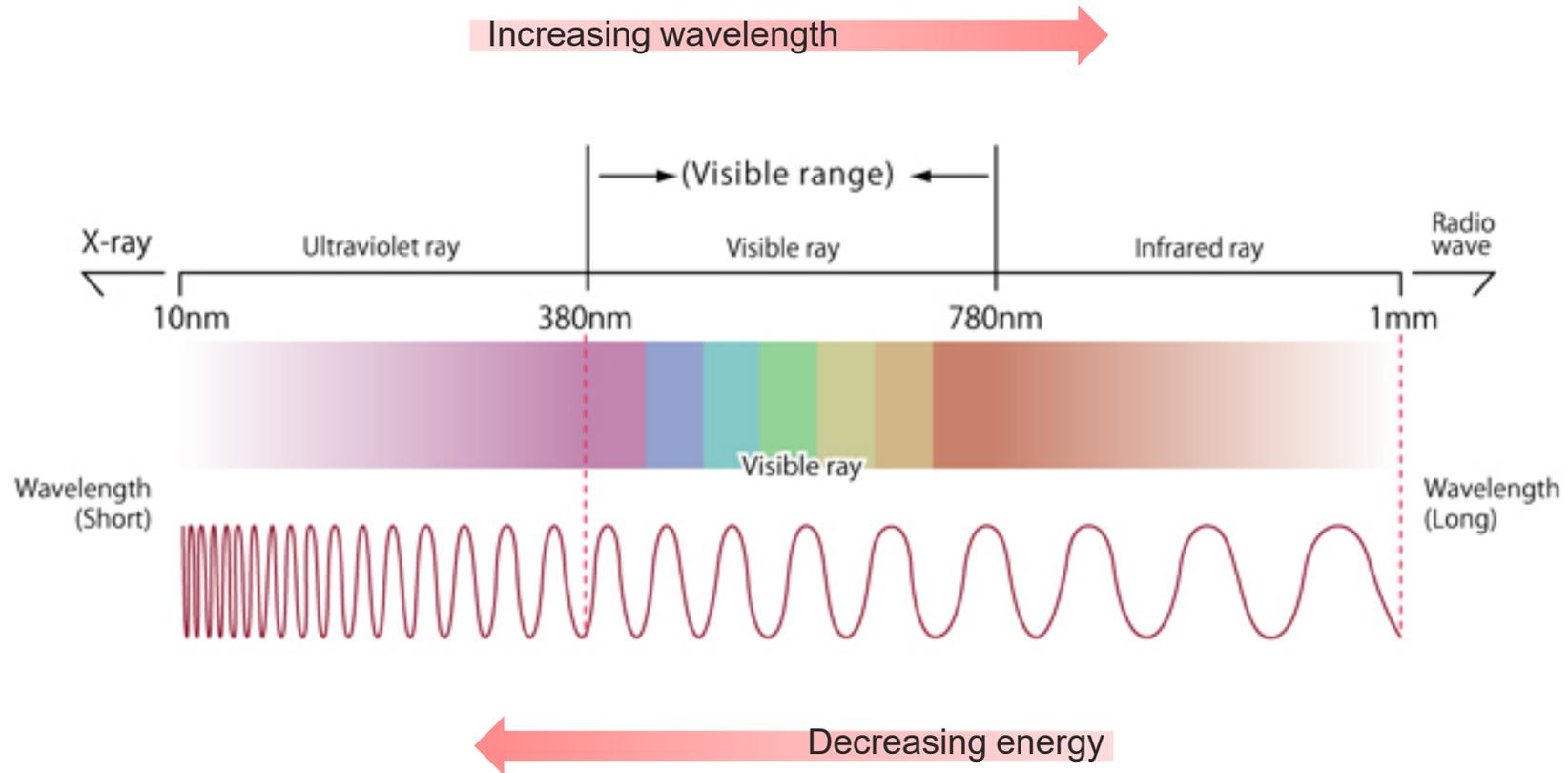


# Techniques

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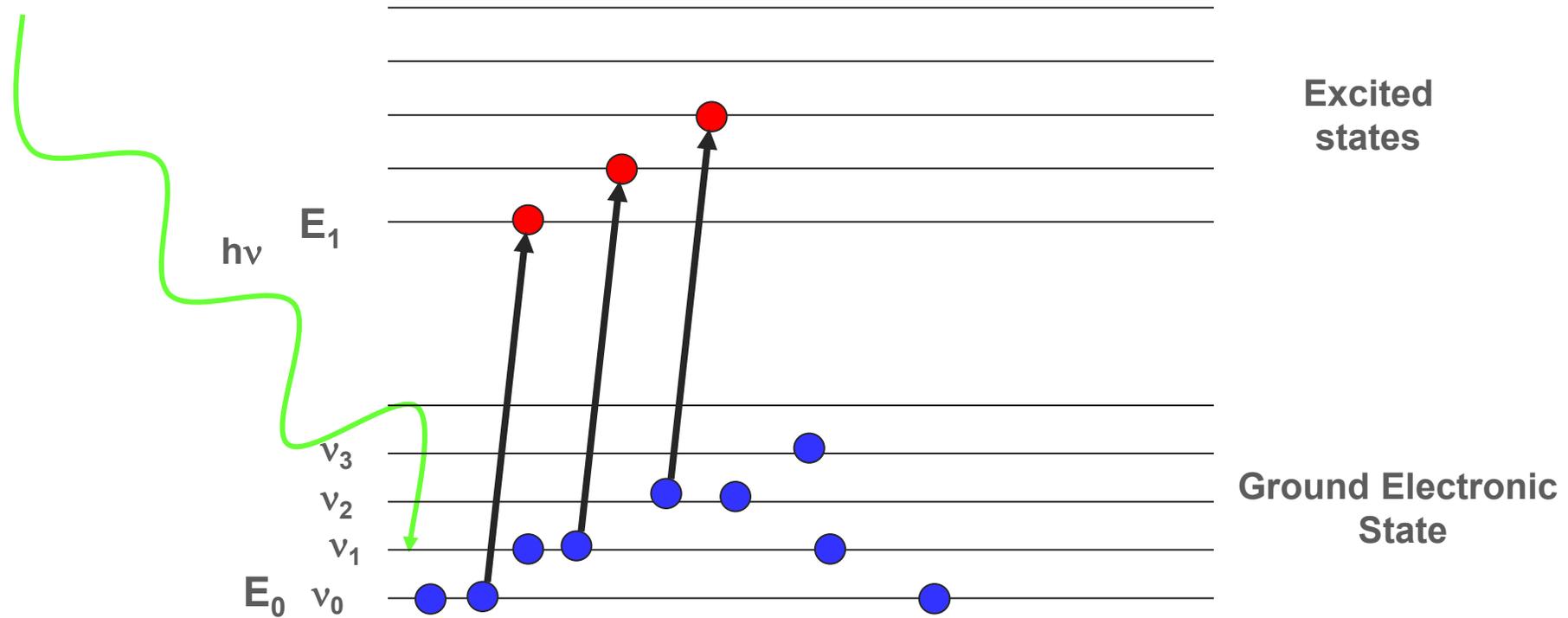


# Electromagnetic Spectrum



# Absorption

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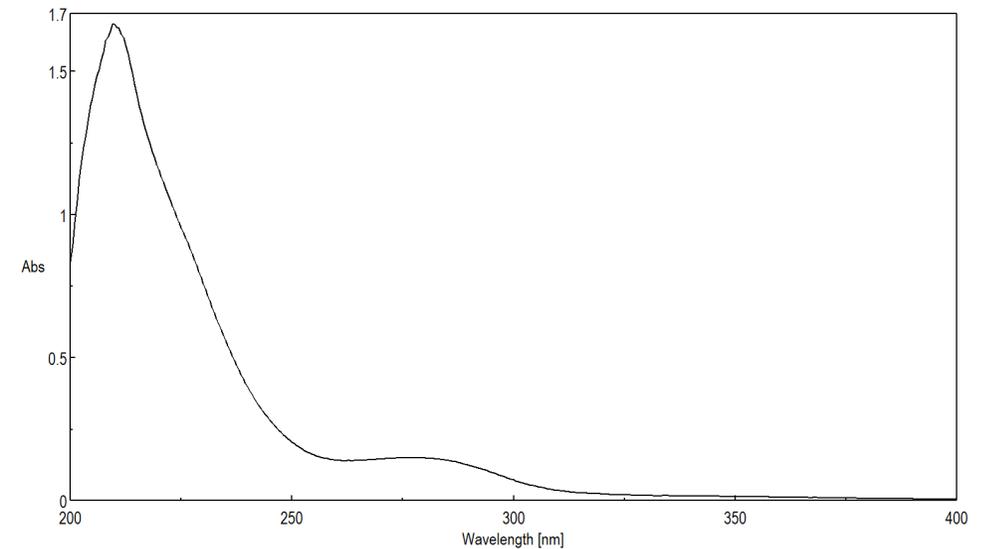
# Absorption

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- Each ground/excited state pair corresponds to a specific energy (and therefore wavelength) that corresponds to an absorption band.

$$E = h\nu = hc/\lambda$$

- The less energy needed to excite the electrons, the longer the wavelength it can absorb.
- These absorption bands are indicative of the molecular structure of the sample and will shift in wavelength and intensity depending on the molecular interactions and environmental conditions.

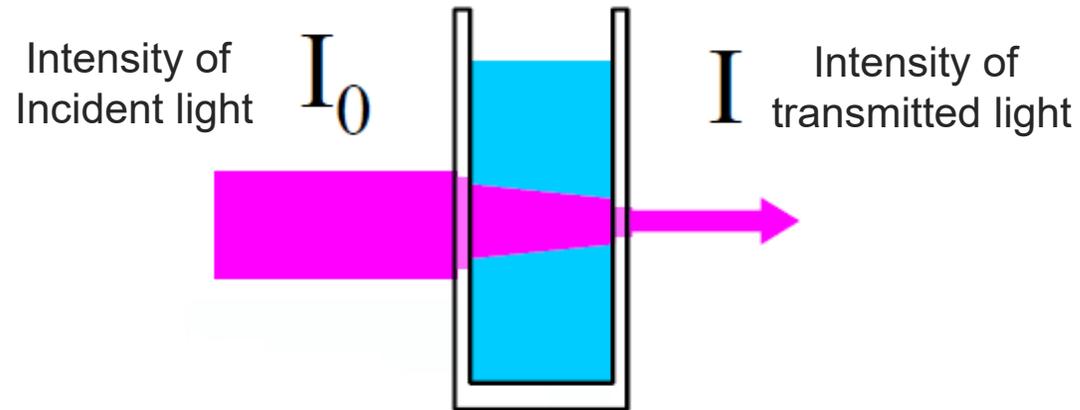


# Transmission

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UV-Vis Spectrophotometer measures the amount of light absorbed by a sample.

- Measuring the light intensity at each wavelength (ie how much light transmitted through sample)



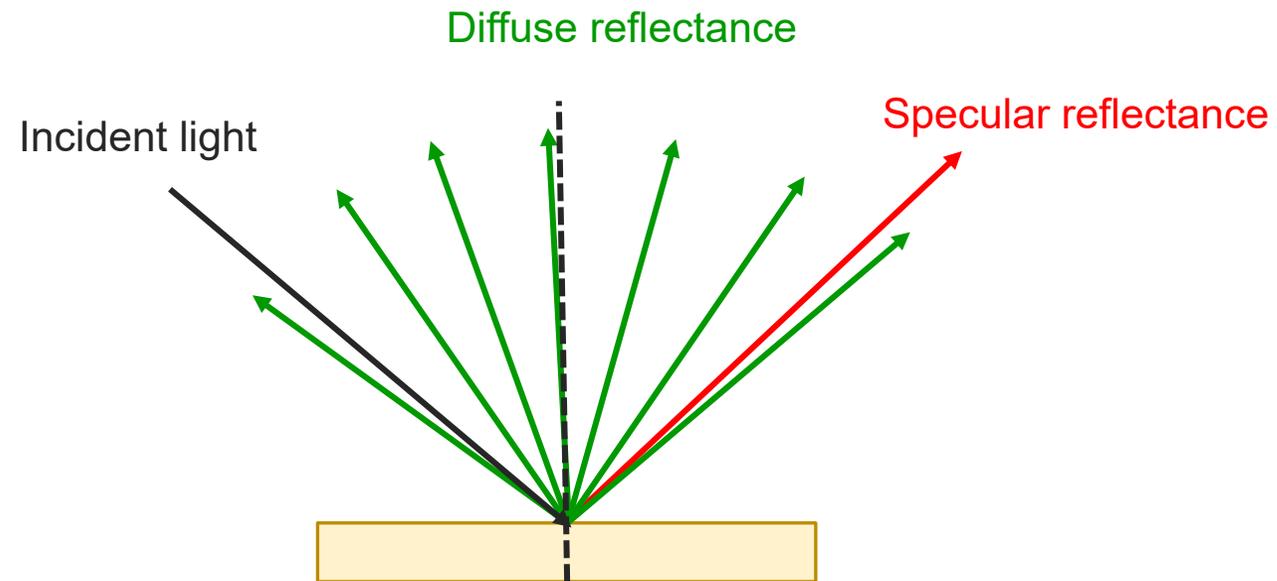
$$T = \frac{I}{I_0}$$

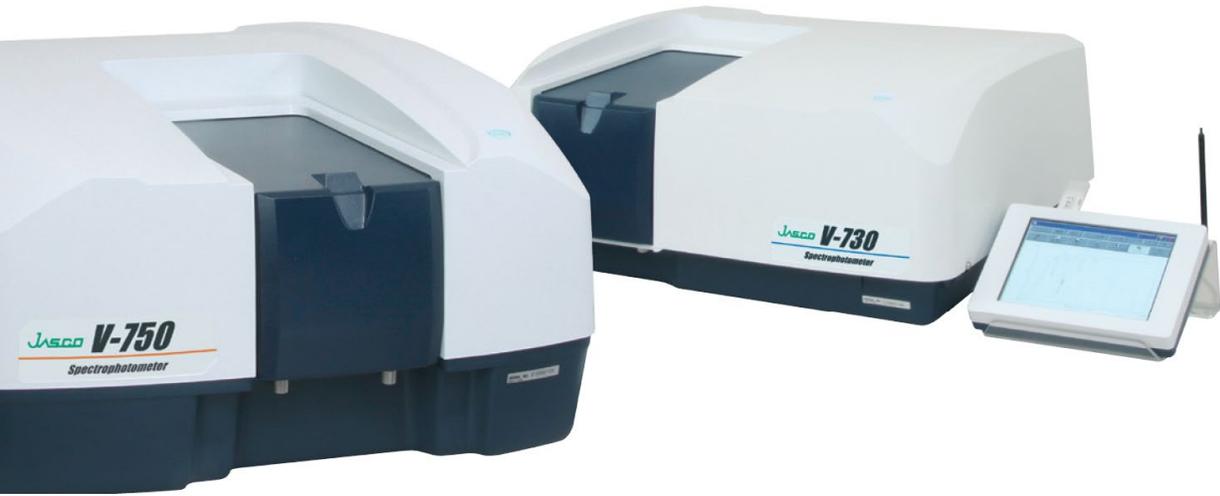
$$Abs = -\log \frac{I}{I_0} = -\log T$$

# Reflectance

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Reflection occurs when light strikes a surface, causing a change in the direction of the light waves



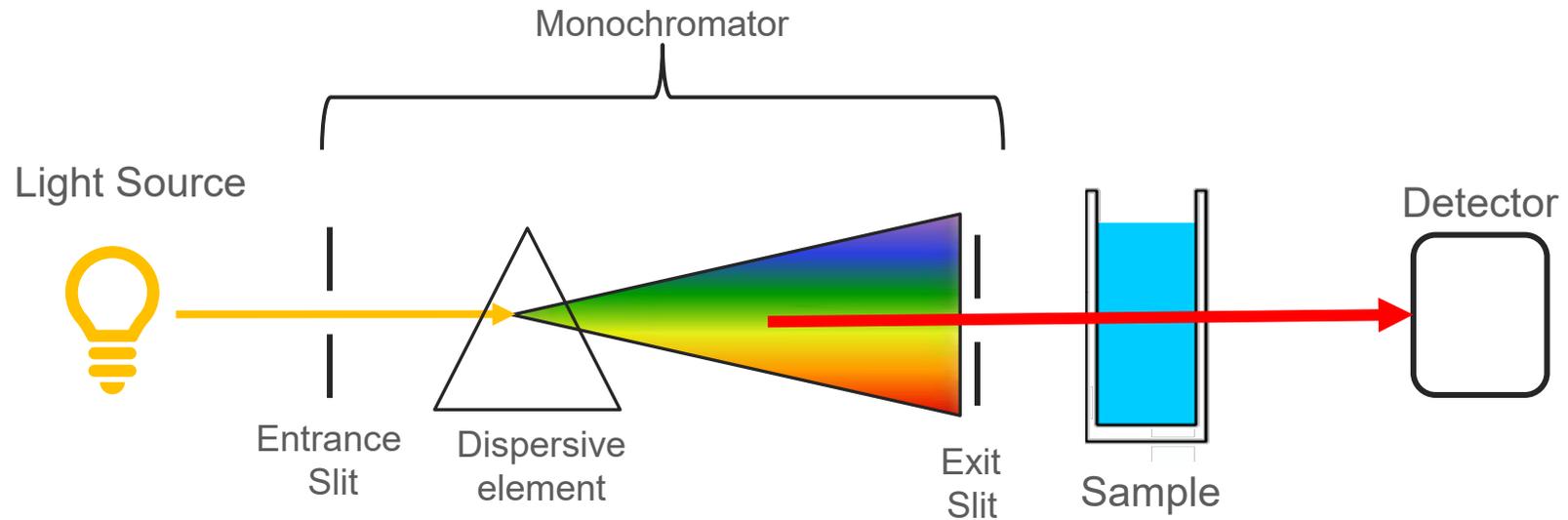


# Instrumentation

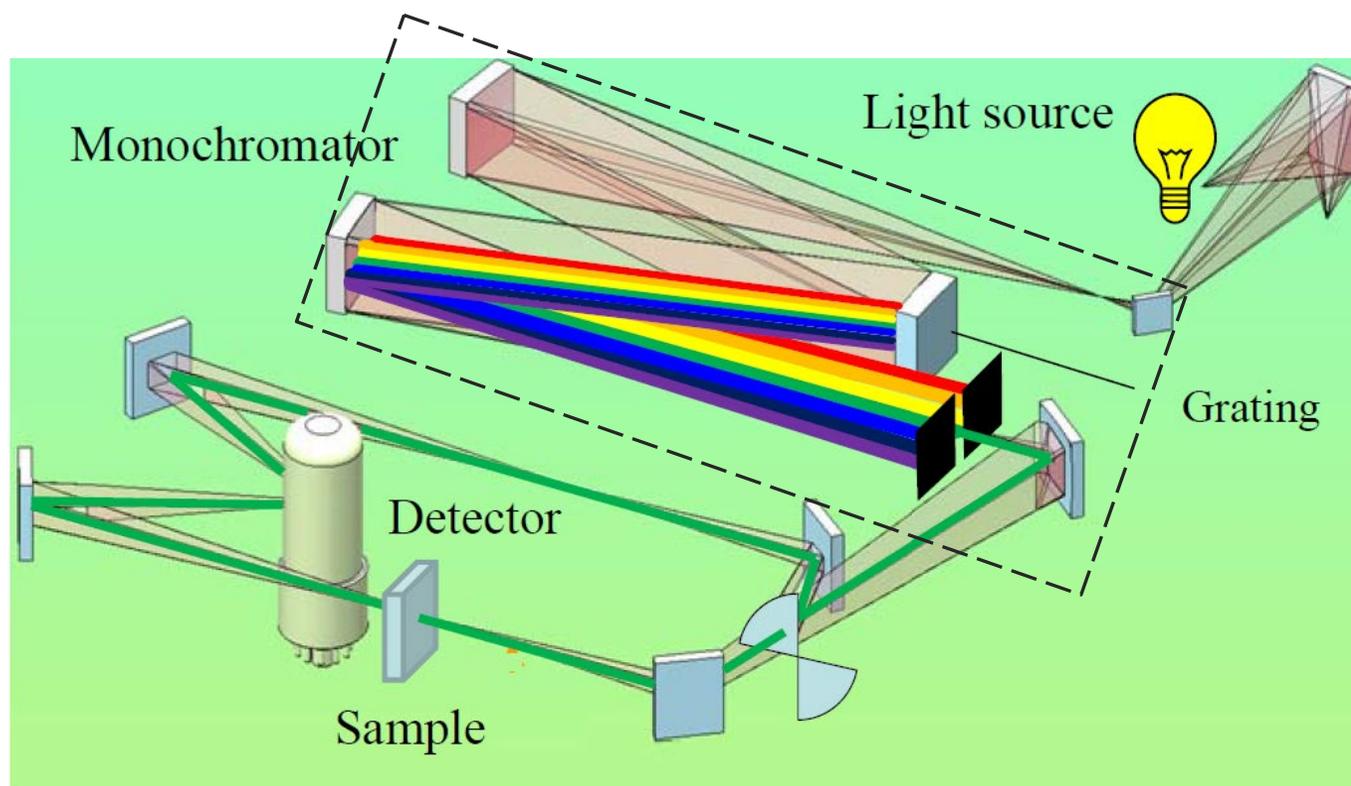
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# Principle of Measurement

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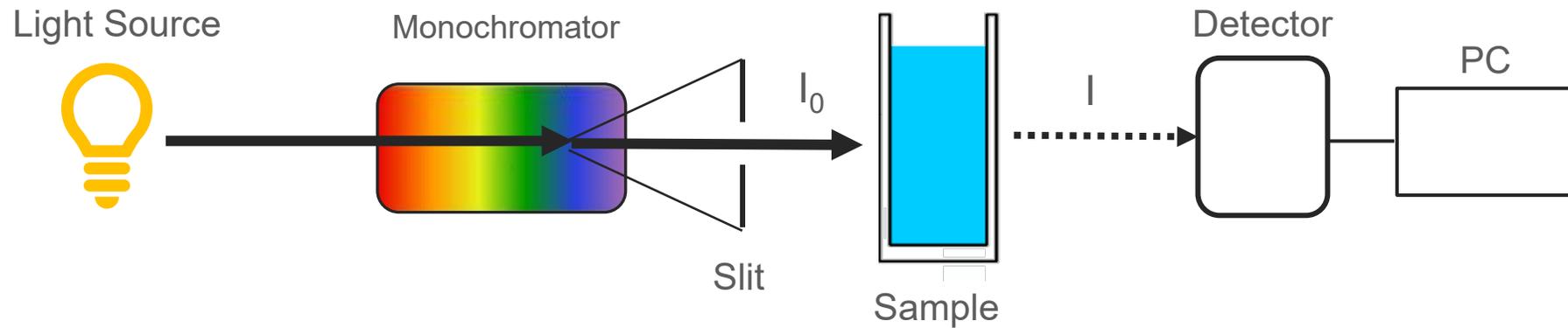
# Czerny-Turner Monochromator



\*V-760 has two gratings

# Single Beam Instrument

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$$T = \frac{I}{I_0}$$



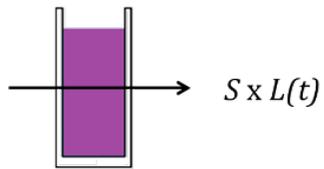
# Single vs double beam

## Double Beam

Reference

→  $R^* \times L(t)$

Sample

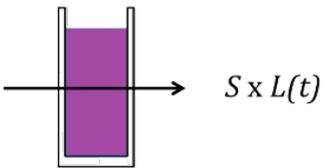


→  $S \times L(t)$

Photometric value =  $\frac{S \times L(t)}{R^* \times L(t)}$

## Single Beam

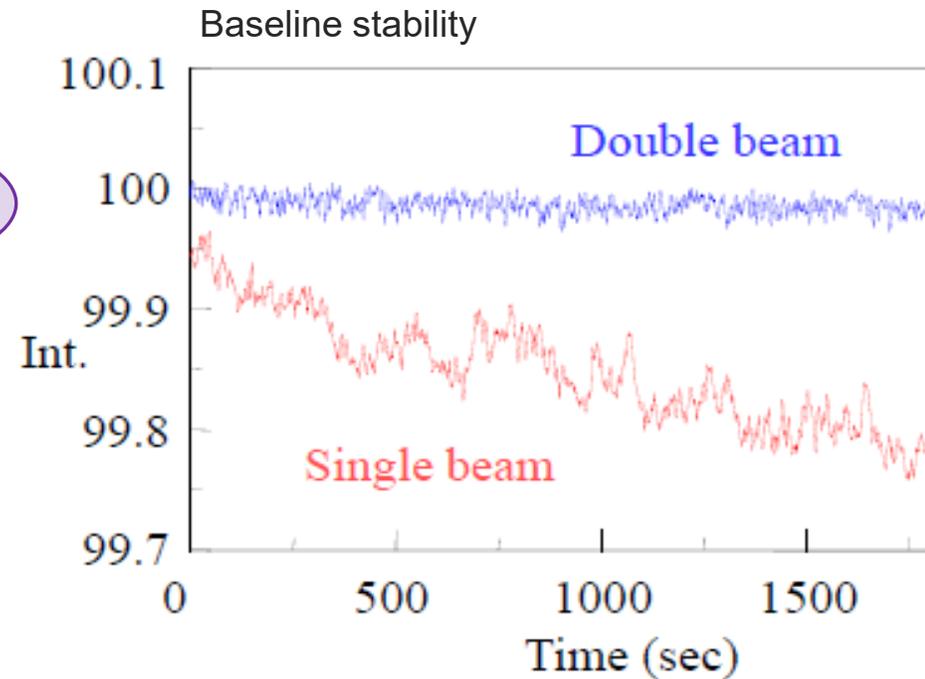
Sample



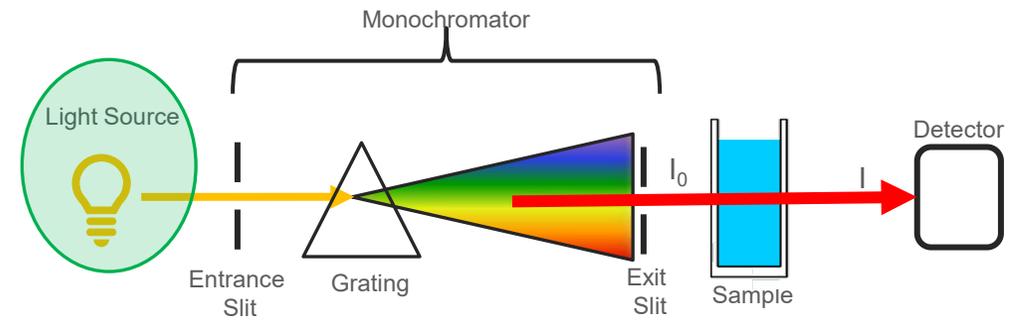
→  $S \times L(t)$

Photometric value =  $S \times L(t)$

$L(t)$ : fluctuation of light source



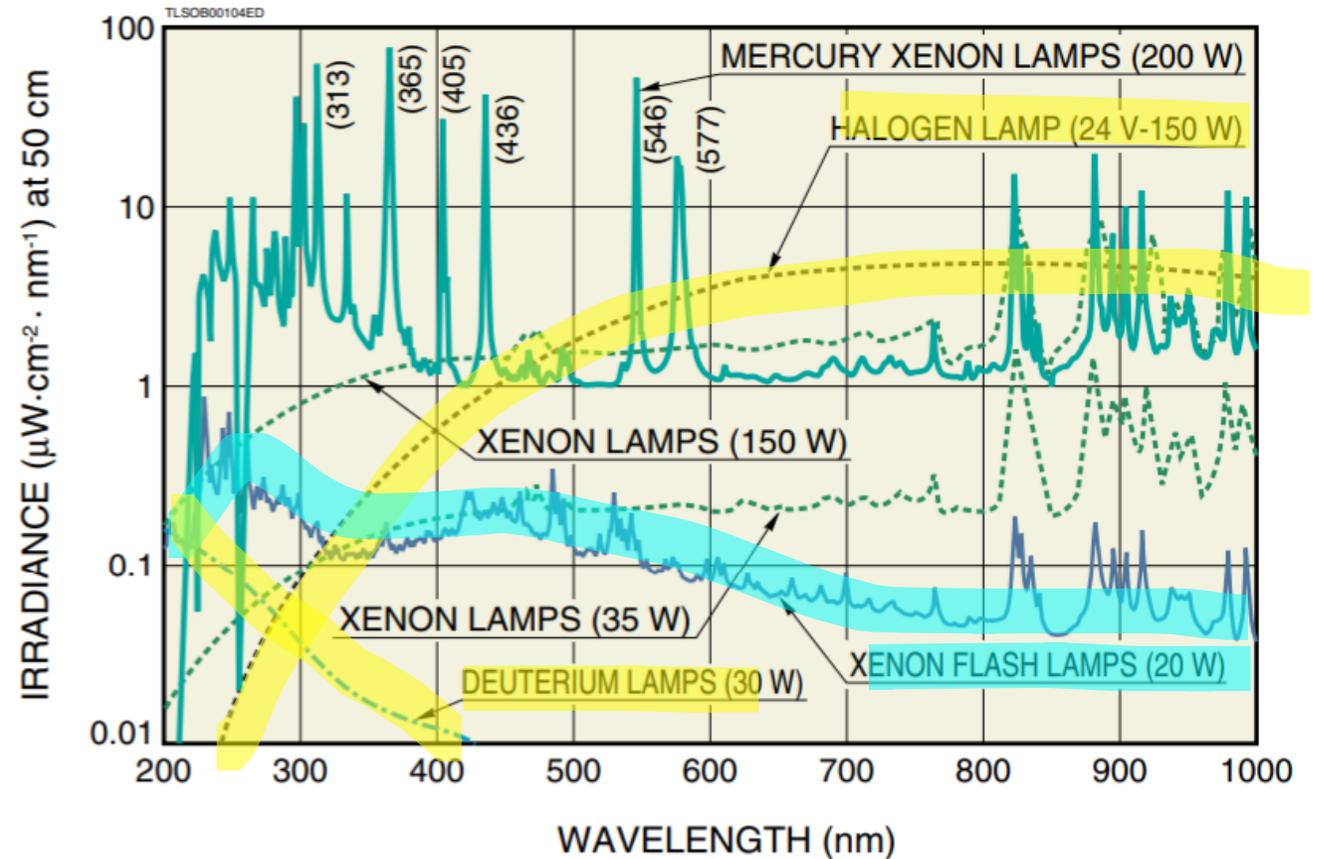
# Light Sources



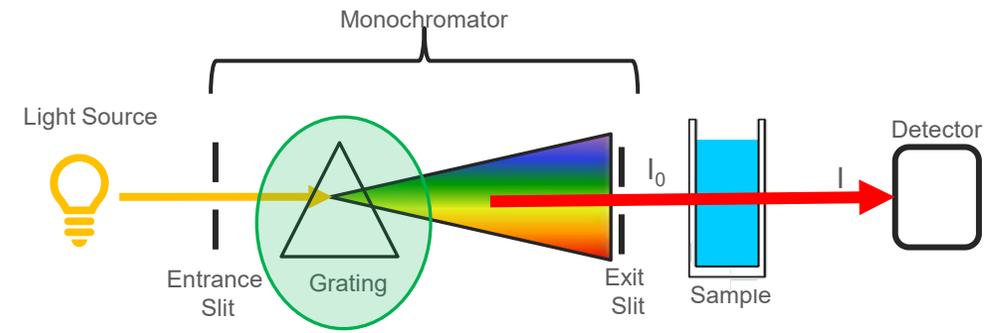
- Wavelength range
  - Light throughput
  - Stability
  - Lifetime
  - Cost
- Deuterium
    - UV: 190-350 nm
    - ~2000 hours
  - Halogen (WI)
    - 330-3200 nm
    - ~1000 hours

# Continuous and flash sources

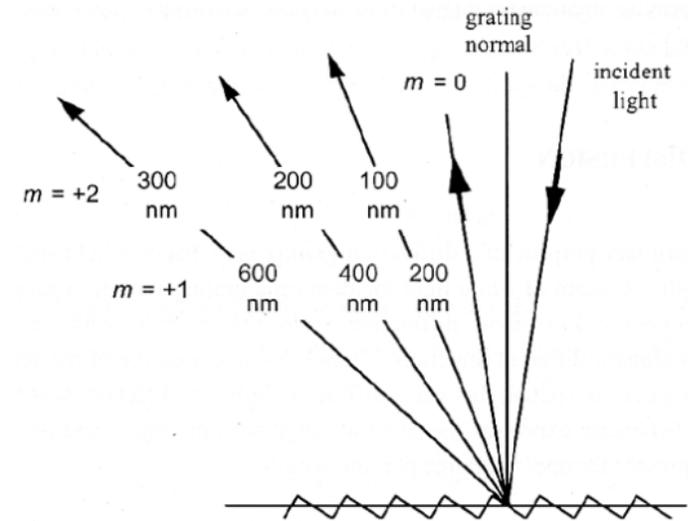
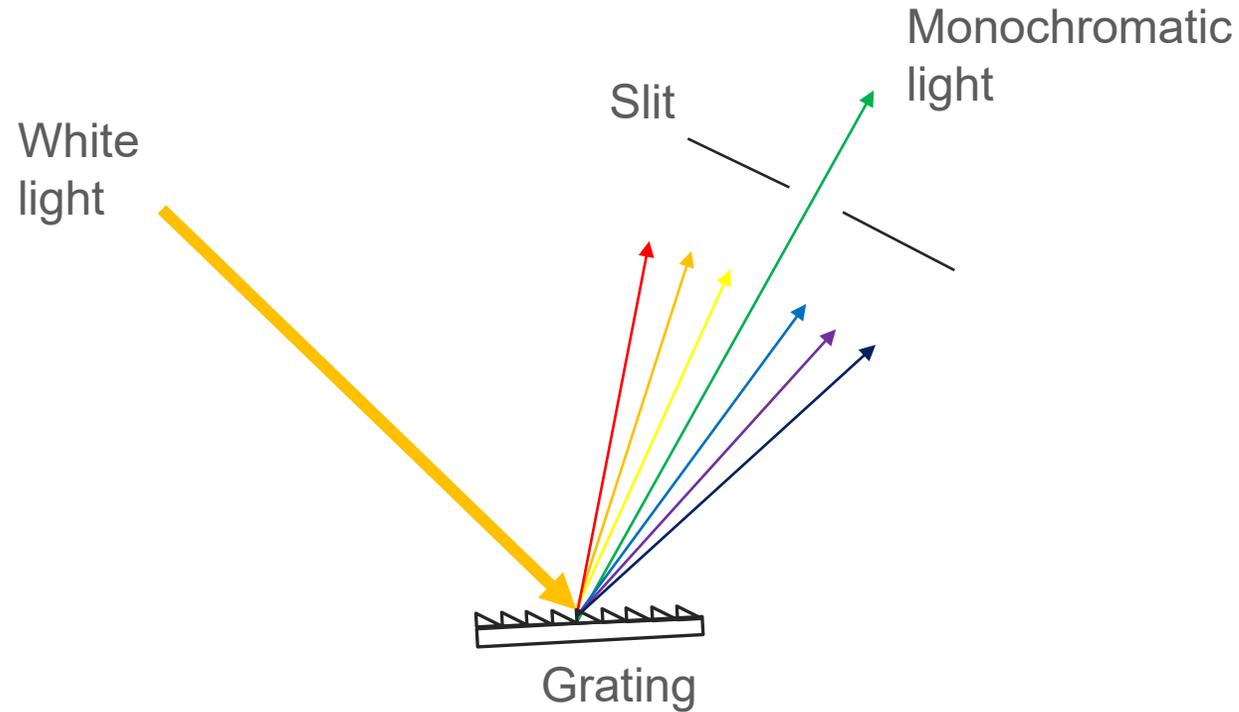
- Continuous lamps provide continuous, *uniform* light output
- Flash lamps release less heat but larger fluctuations in light intensity



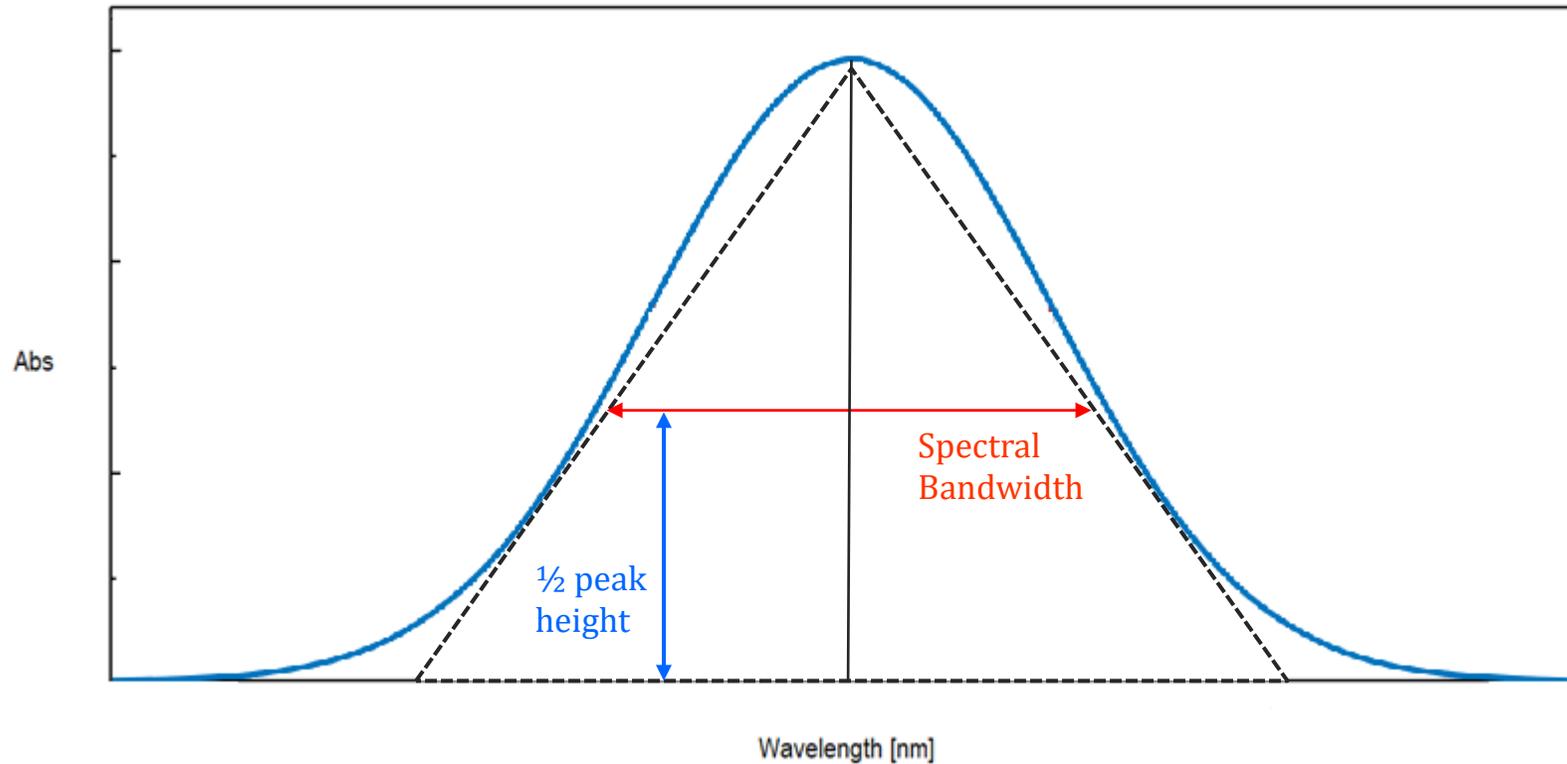
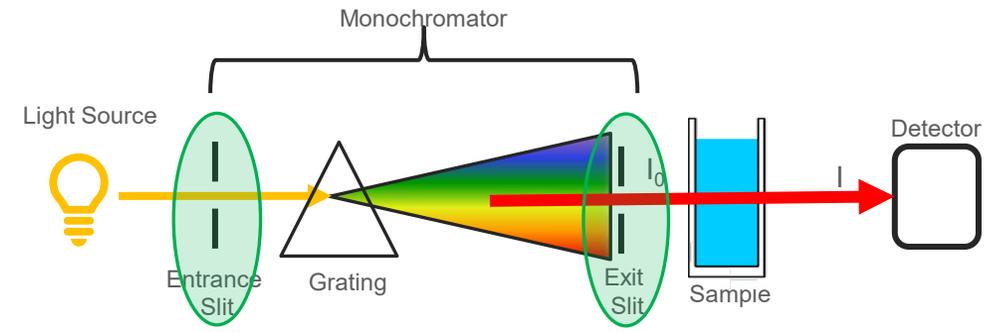
# Grating



Dispersive element used for wavelength selection



# Spectral bandwidth

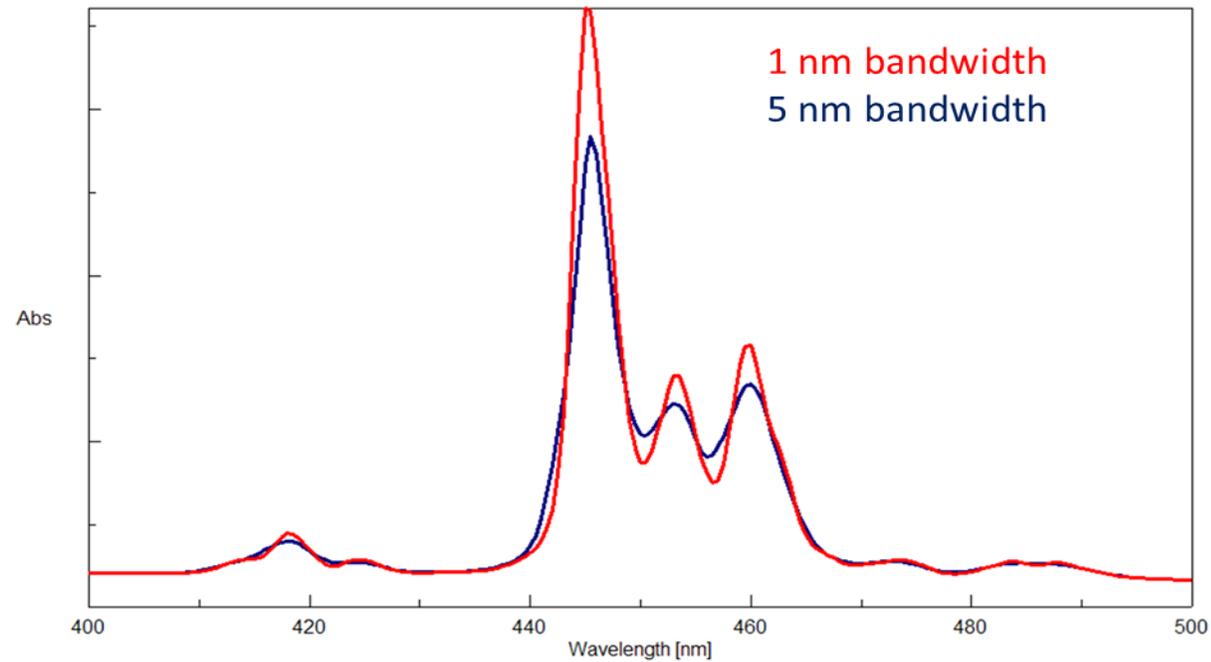


$$\Delta\lambda = \frac{d \cdot \cos \beta}{n \cdot f} \Delta x$$

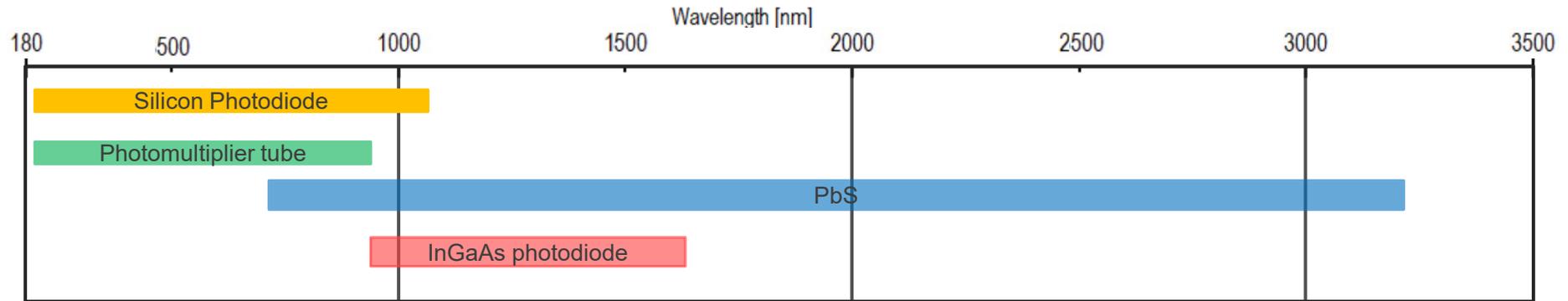
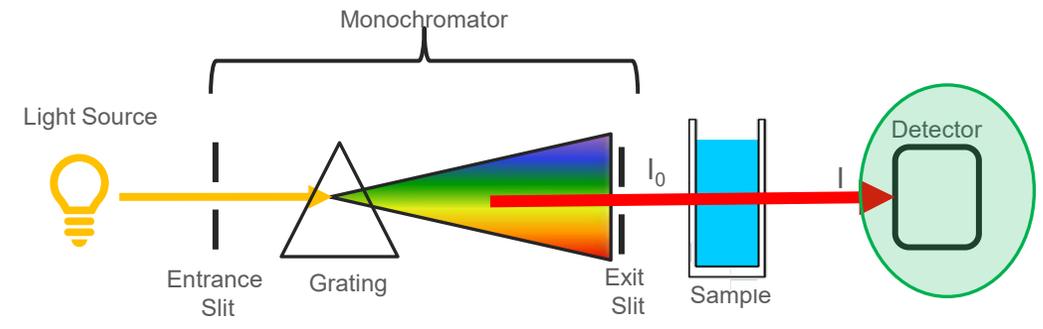
$\Delta\lambda$ : bandwidth  
 $d$ : groove spacing of grating  
 $\beta$ : diffraction angle  
 $n$ : diffraction order  
 $f$ : focal length  
 $\Delta x$ : slit width

# Resolution

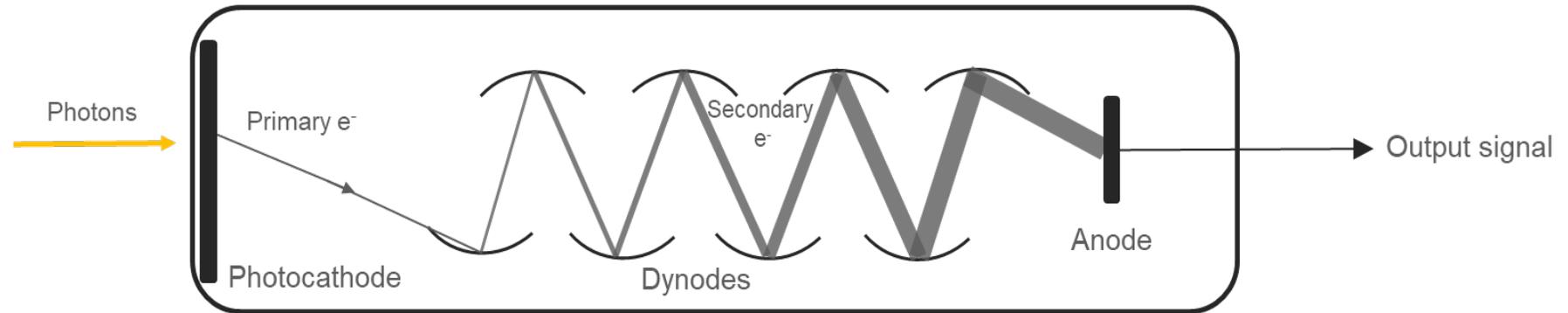
Narrow slit = Narrow bandwidth → High noise, better resolution  
Wide slit = Wide bandwidth → Low noise, poor resolution



# Detectors

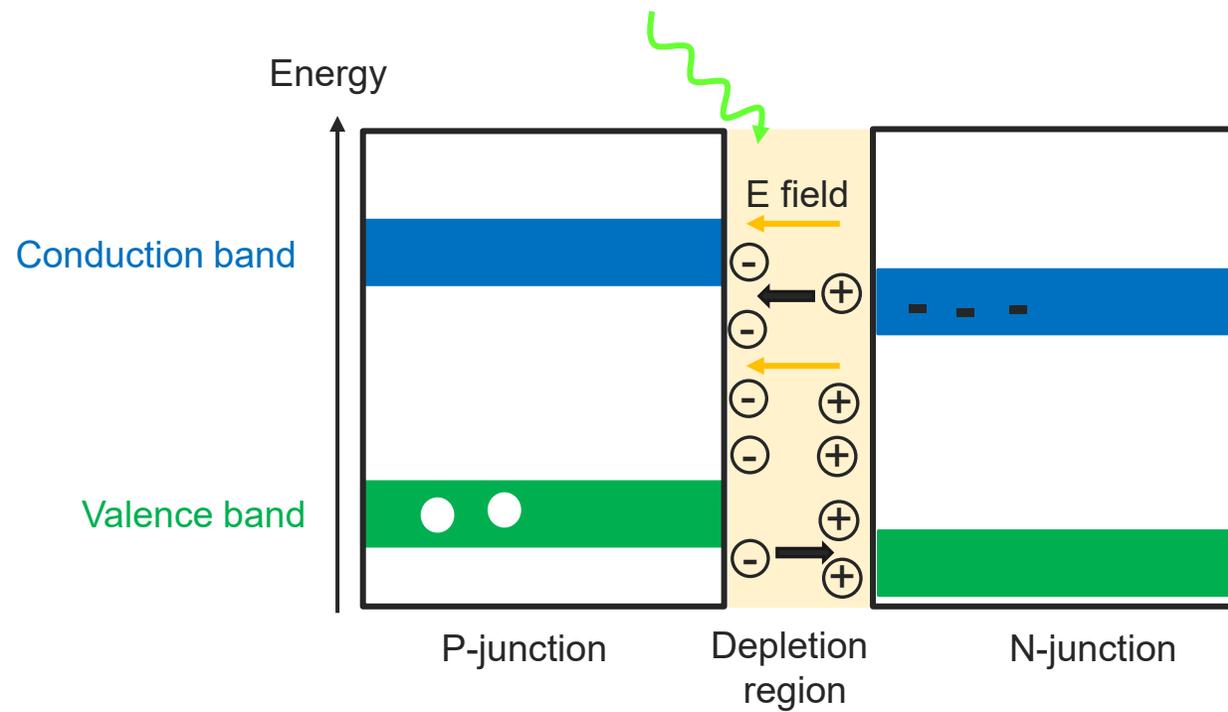


# Photomultiplier tube (PMT)



- Wide spectral response
- High S/N output
- High stability

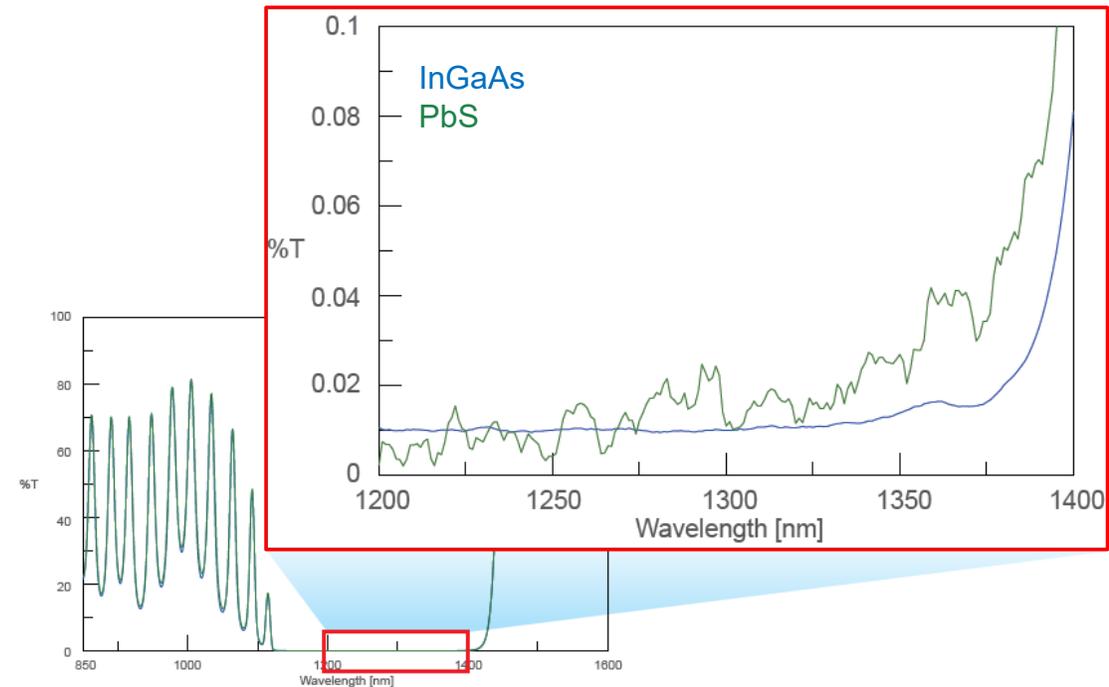
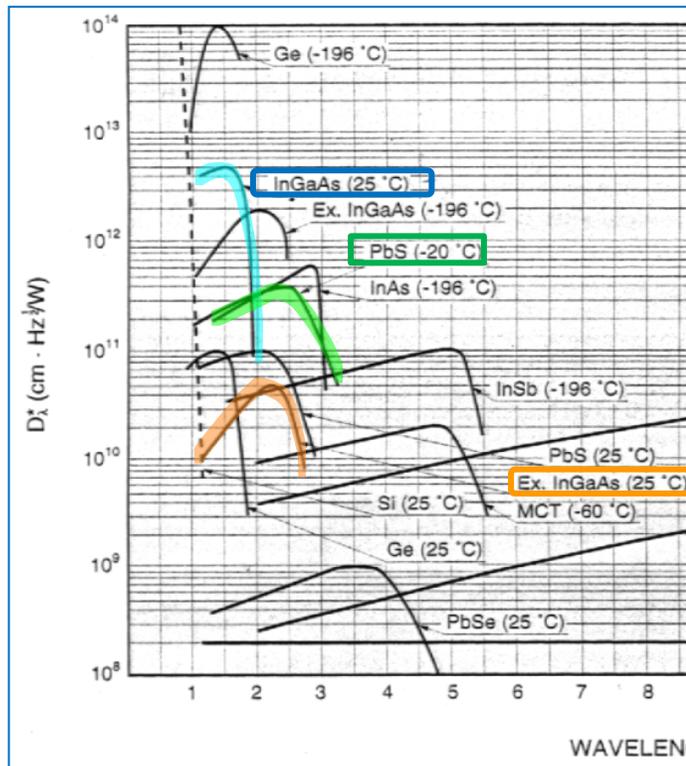
# Photodiode



- Quick response
- Slightly broader spectral range (-1100 nm)
- Low noise

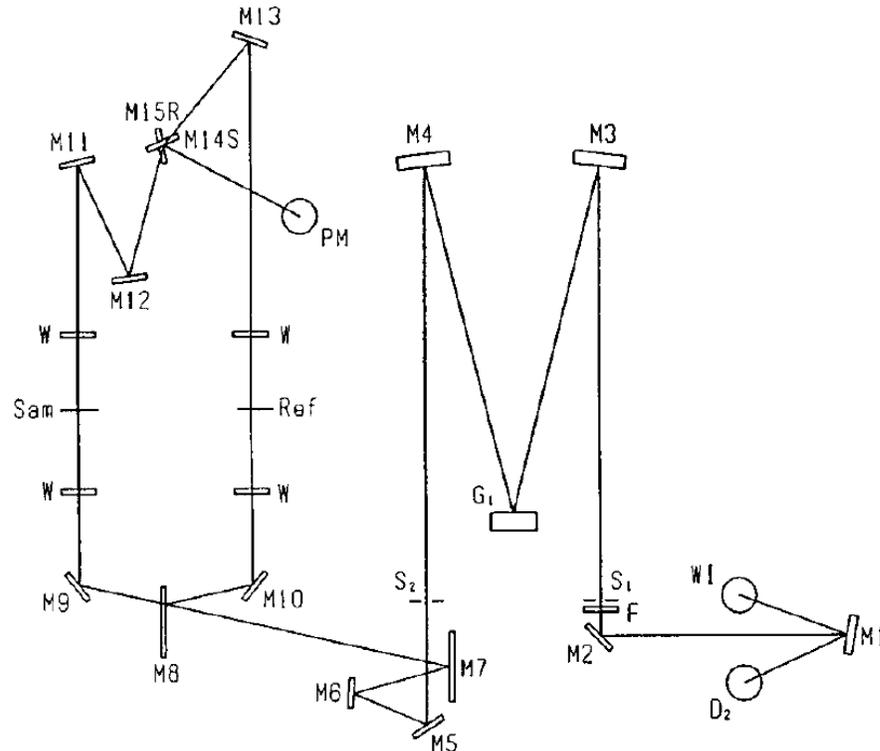
# NIR Detectors

- InGaAs: higher sensitivity, shorter wavelength range
- PbS: wider wavelength range, less sensitive

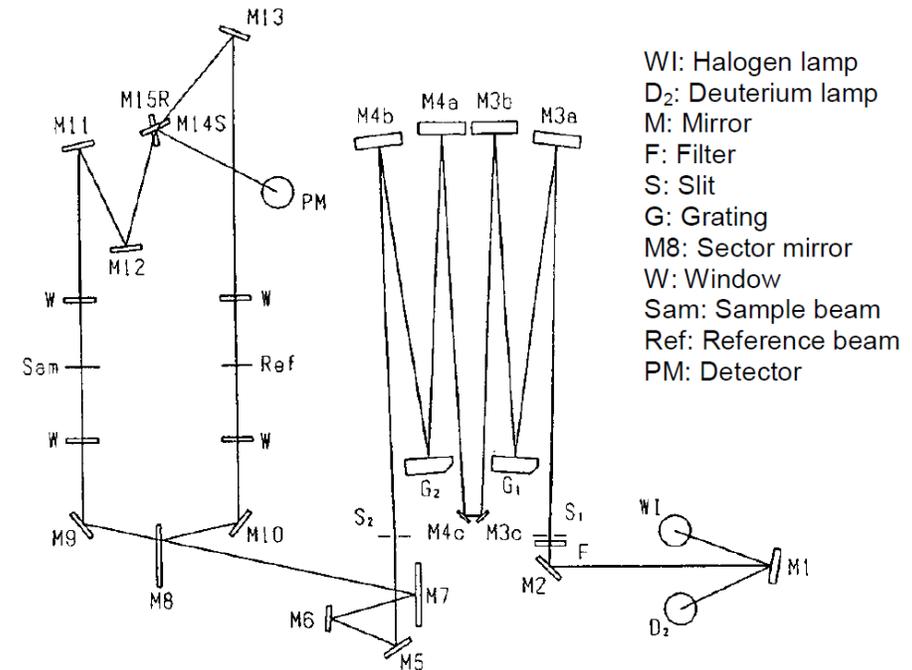


# Single vs double monochromator

- One grating, one set of slits

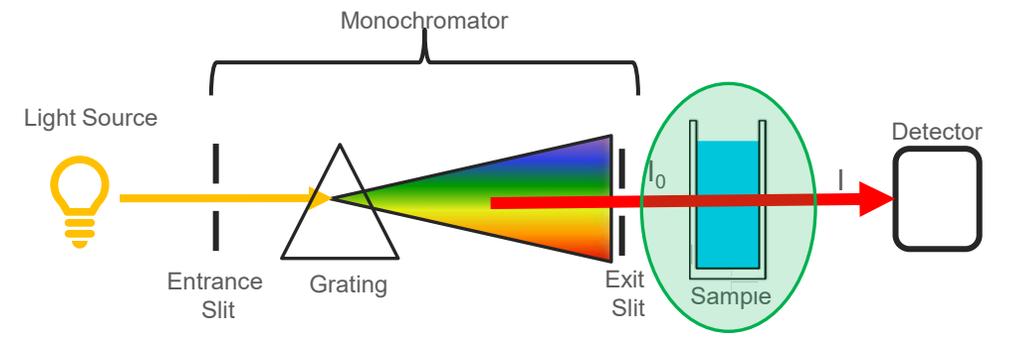


- Two gratings, two sets of slits, additional mirrors
- Reduce effects of stray light
- Best for highly absorbing or scattering samples

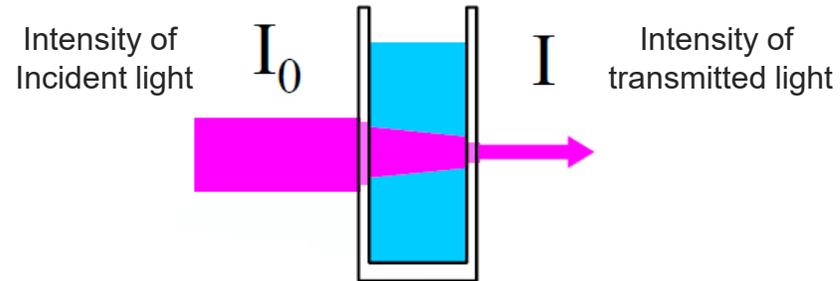


W1: Halogen lamp  
 D<sub>2</sub>: Deuterium lamp  
 M: Mirror  
 F: Filter  
 S: Slit  
 G: Grating  
 M8: Sector mirror  
 W: Window  
 Sam: Sample beam  
 Ref: Reference beam  
 PM: Detector

# Beer-Lambert Law



How light is attenuated based on the materials traveled through



$$T = I/I_0$$

$$I = I_0 e^{-\epsilon c l}$$

$$-\log I/I_0 = \epsilon c l$$

$$A = \epsilon \cdot c \cdot l$$

$\epsilon$ : molar absorptivity  
 $c$ : molar concentration  
 $l$ : pathlength

# Beer-Lambert Law

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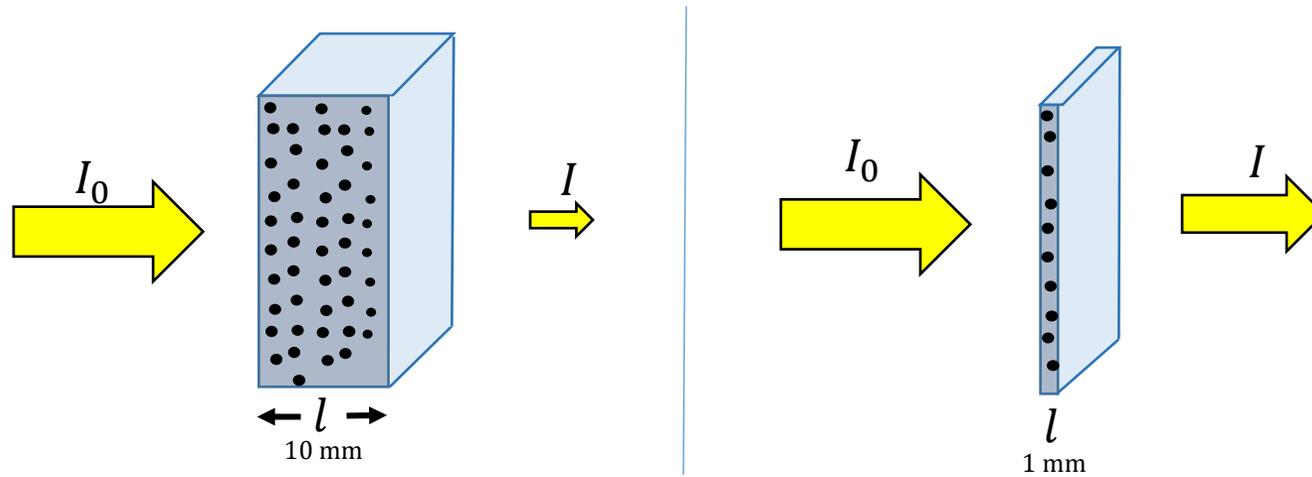
$$A = \varepsilon \cdot c \cdot l$$

$\varepsilon$ : molar absorptivity

- Amount of light absorbed per unit concentration
- Higher the  $\varepsilon$ , more effective at absorbing light

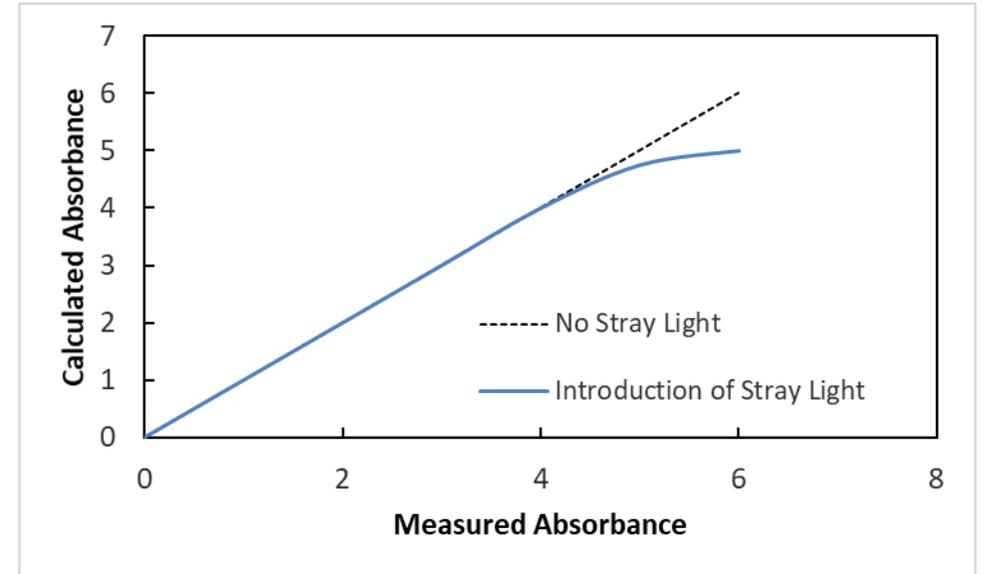
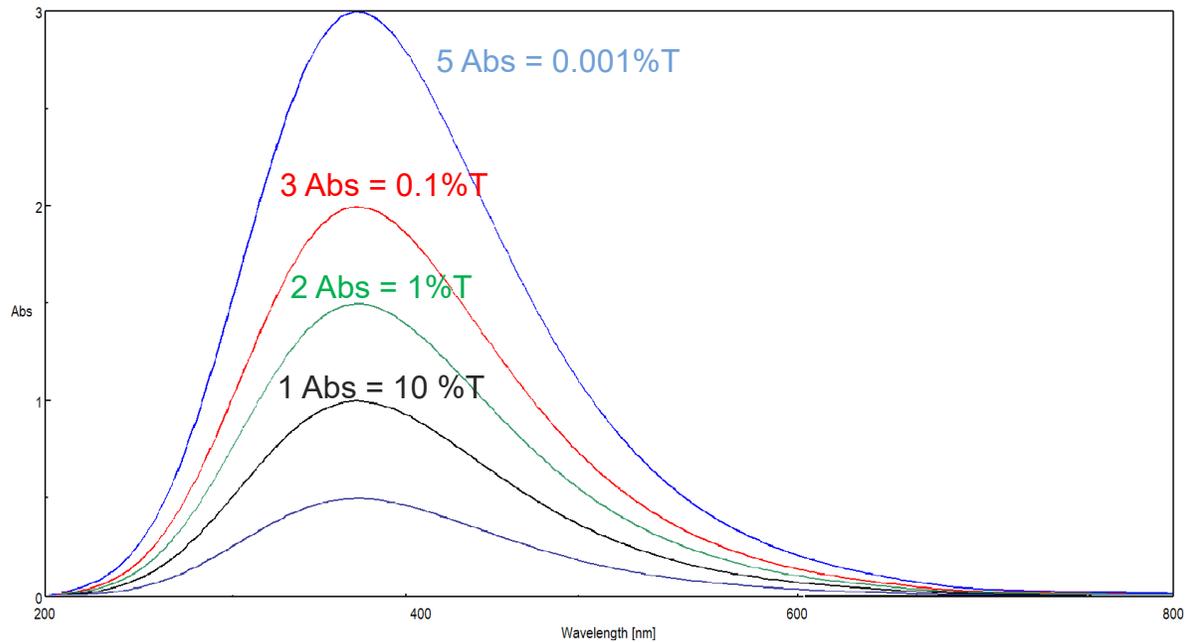
$c$ : molar concentration

$l$ : pathlength



# Stray Light

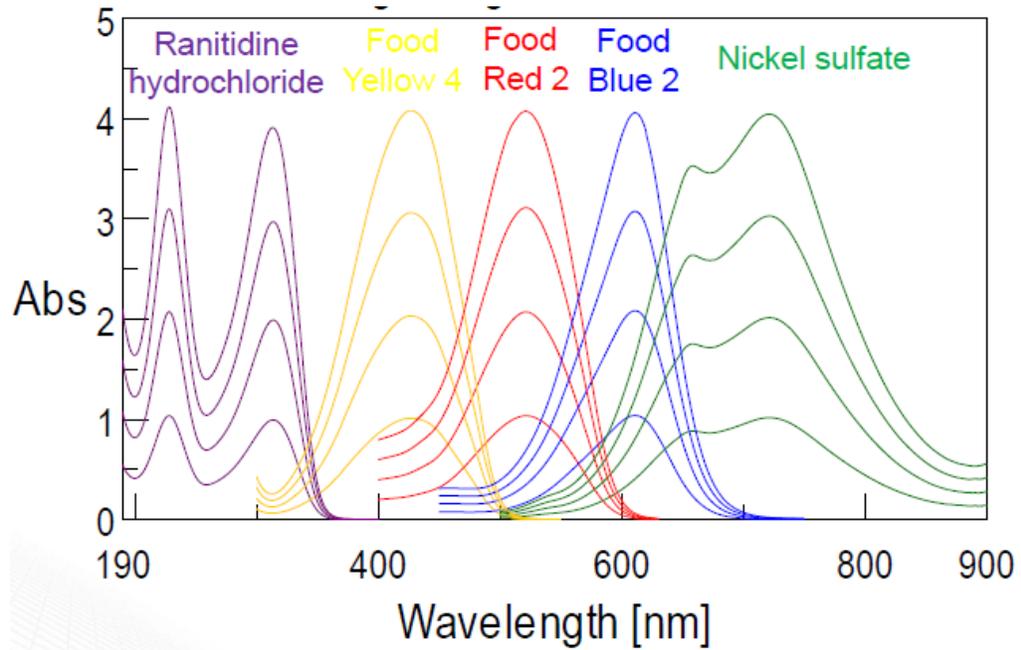
Any light that does not fall under distribution at a specific wavelength.



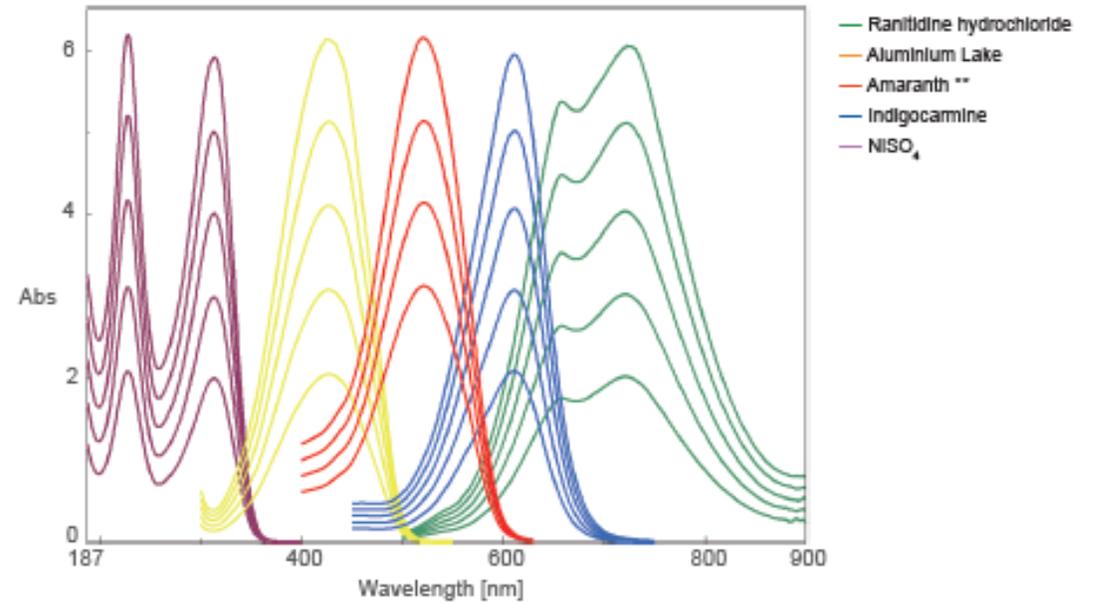
Higher A, lower T

# Photometric linearity

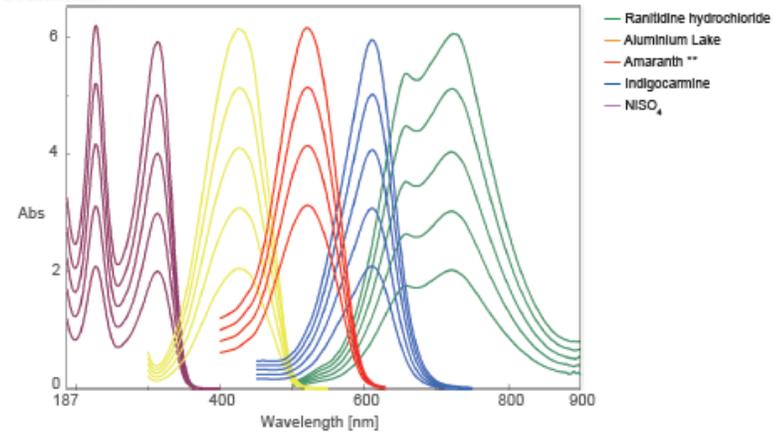
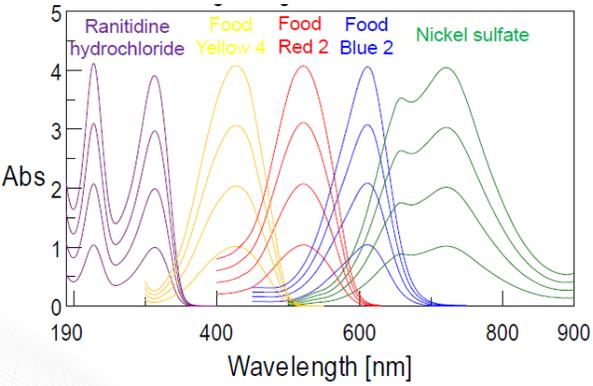
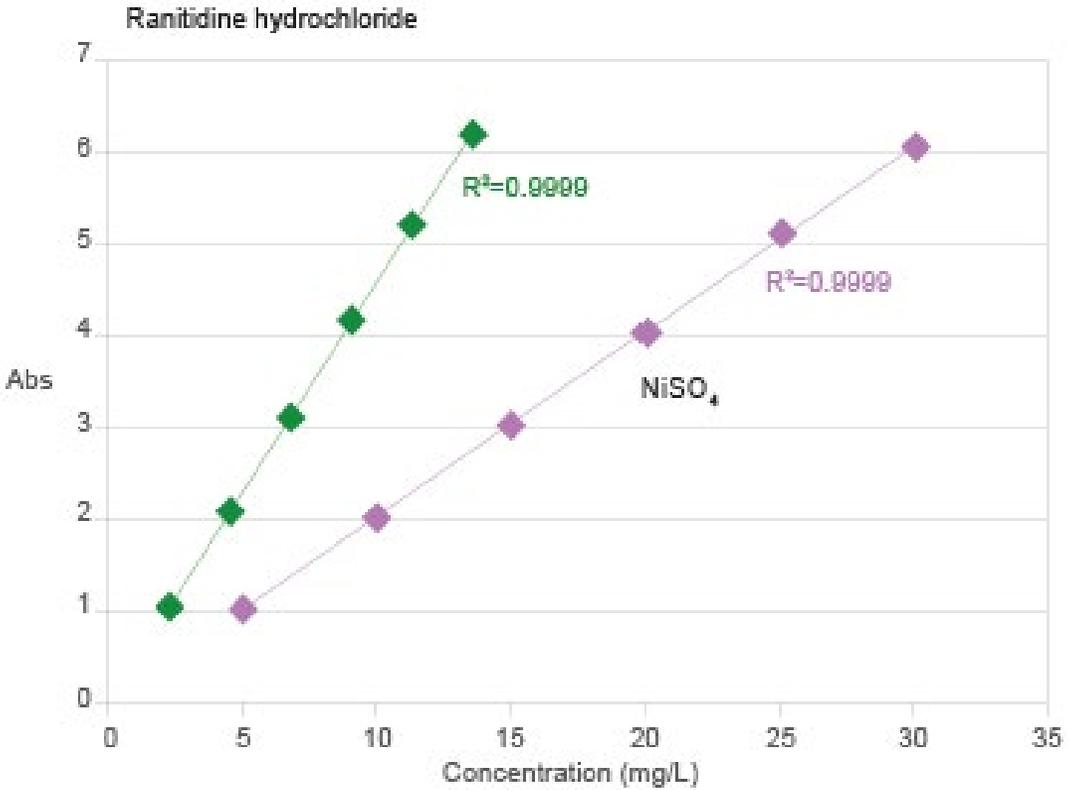
Single mono



Double mono

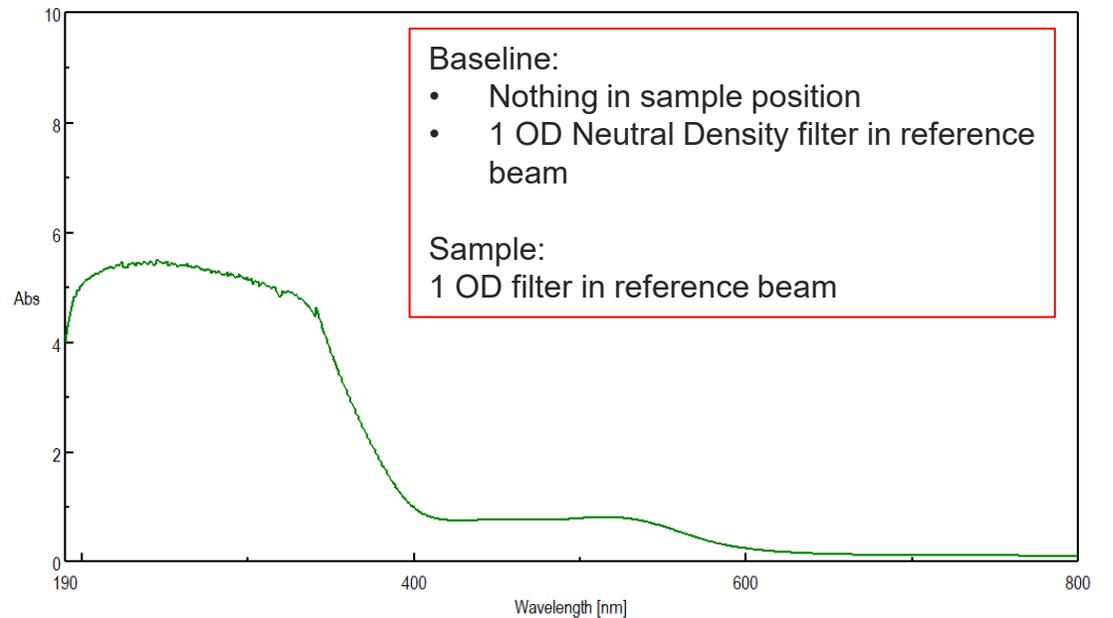
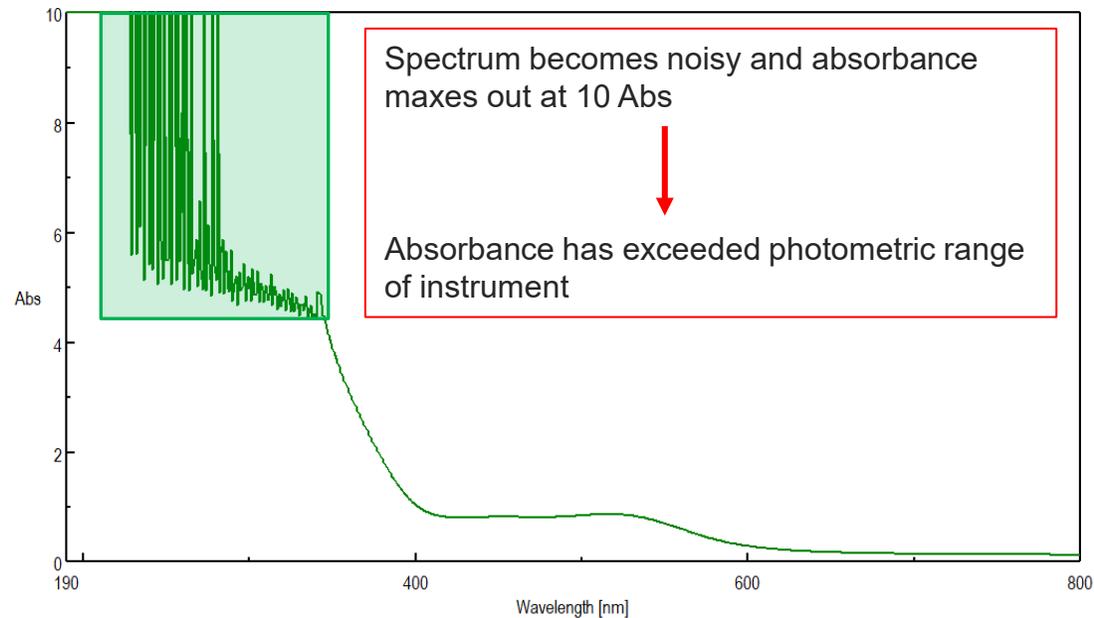


# Photometric linearity



# Concentrated samples and rear beam attenuation

Extends photometric range and sensitivity of instrument for highly absorbing samples

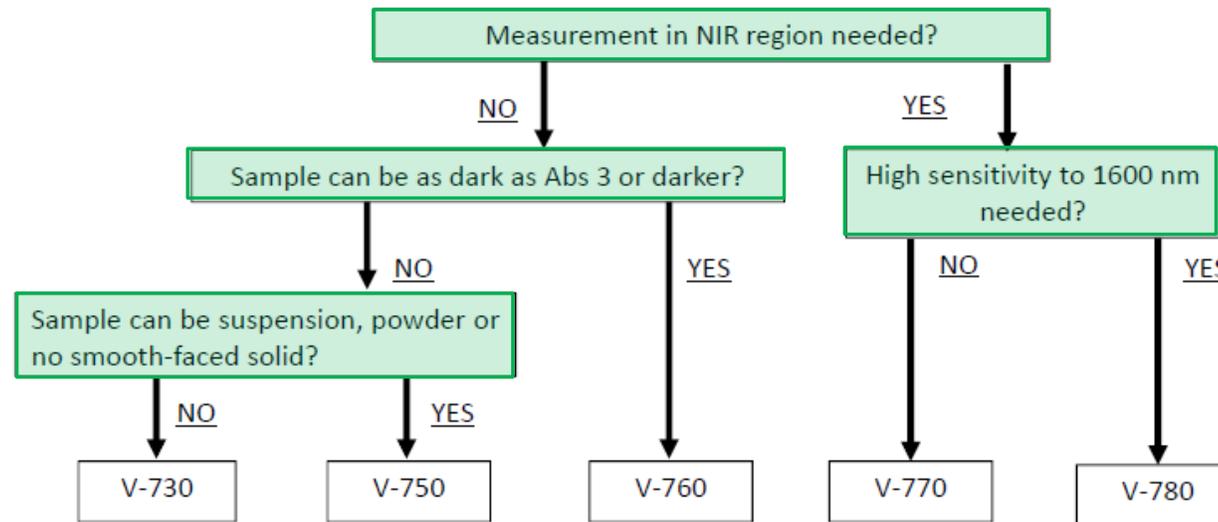


# How to select the appropriate model?

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# How to select the appropriate model?

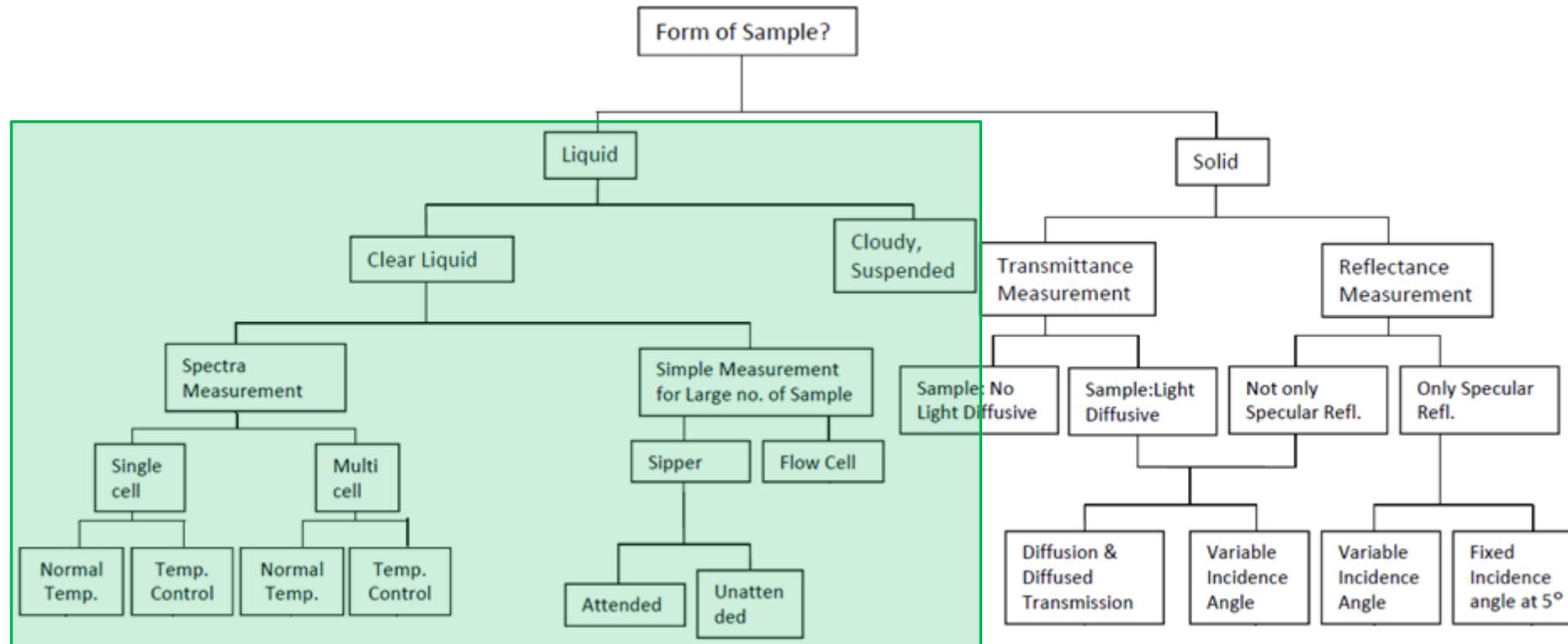


Measurement in wide range in NIR	=>	V-770
Double monochromator is preferred	=>	V-760
Less stray light is preferred	=>	V-760
High end instrument	=>	V-770/780
Classical measurements required with minimum budget	=>	V-730

# Sampling

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# Sampling: Liquids



# Liquid Samples

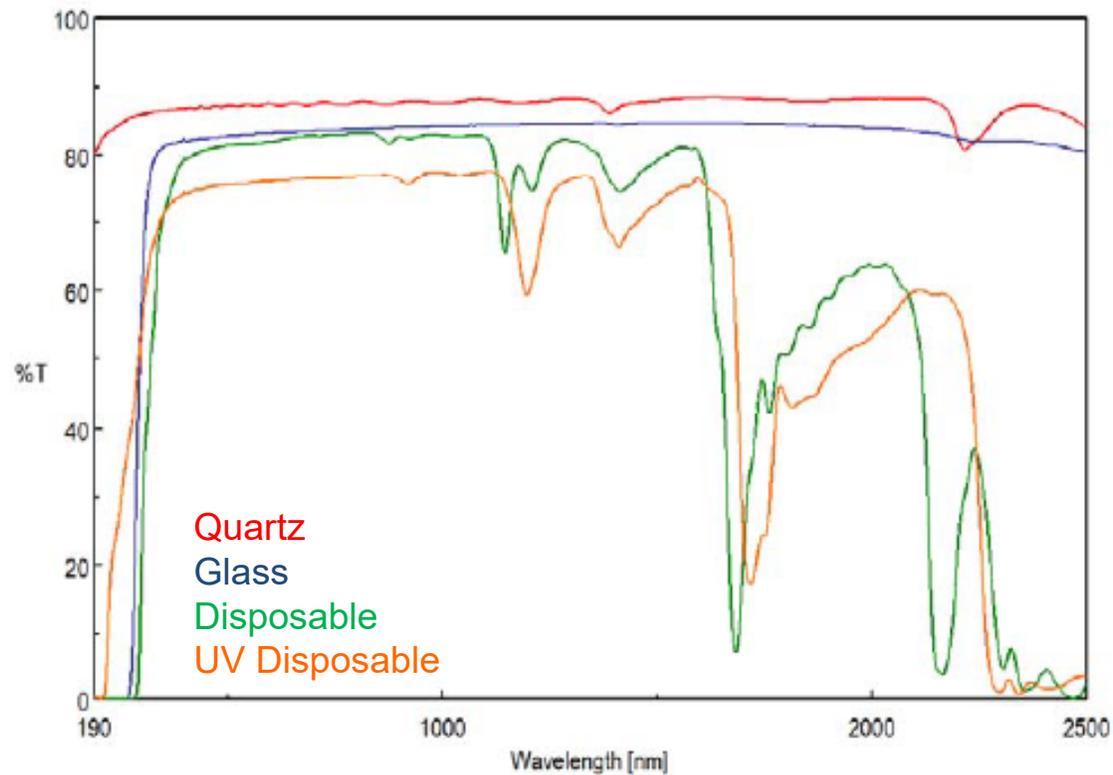
1. Wavelength range
2. Concentration and Pathlength
3. Cuvette
4. Solvent

Chromophore	Transition	$\lambda_{\max}$ (nm)	$\epsilon_{\max}$
Alkyne	$\pi \rightarrow \pi^*$	178	10,000
		196	2,000
		225	160
Alkene	$\pi \rightarrow \pi^*$	177	13,000
Carbonyl	$n \rightarrow \sigma^*$	186	1,000
	$n \rightarrow \pi^*$	280	16
	$n \rightarrow \sigma^*$	180	
	$n \rightarrow \pi^*$	293	12
Carboxyl	$n \rightarrow \pi^*$	204	41
Amido	$n \rightarrow \pi^*$	214	60
Azo	$n \rightarrow \pi^*$	339	5
Nitro	$n \rightarrow \pi^*$	280	22
Nitroso	$\pi \rightarrow \pi^*$	300	100
		665	20
Nitrate	$\pi \rightarrow \pi^*$	270	12

Chromophore	Transition	Wavelength (nm)
-C $\equiv$ C-	$\pi \rightarrow \pi^*$	220-190
-C=C-	$\pi \rightarrow \pi^*$	200-185
-C=C-C=C-	$\pi \rightarrow \pi^*$	300-250
C=O, -CHO	$n \rightarrow \pi^*$	350-240
-C=C-C=O	$n \rightarrow \pi^*$	400-260
	$\pi \rightarrow \pi^*$	280-200
-COOH, Lactone, Ester	$n \rightarrow \pi^*$	250-200
S-S	$n \rightarrow \sigma^*$	300-200
Protein, Polypeptide	Aromatic	350-250
		260-185
	Amide	260-200
DNA, RNA	$\pi \rightarrow \pi^*$	300-200
Co-chelate Complex	$D \rightarrow d^*$	700-300
	CT	300-180

# Cuvette Selection

Submicro quartz cell



10 mm quartz cell



Micro quartz cell

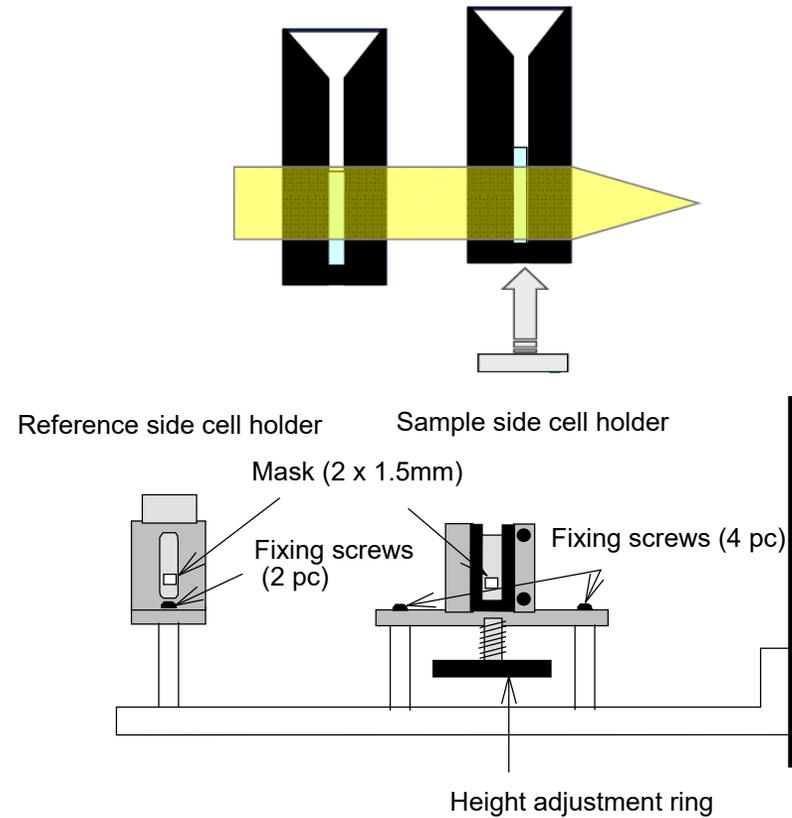
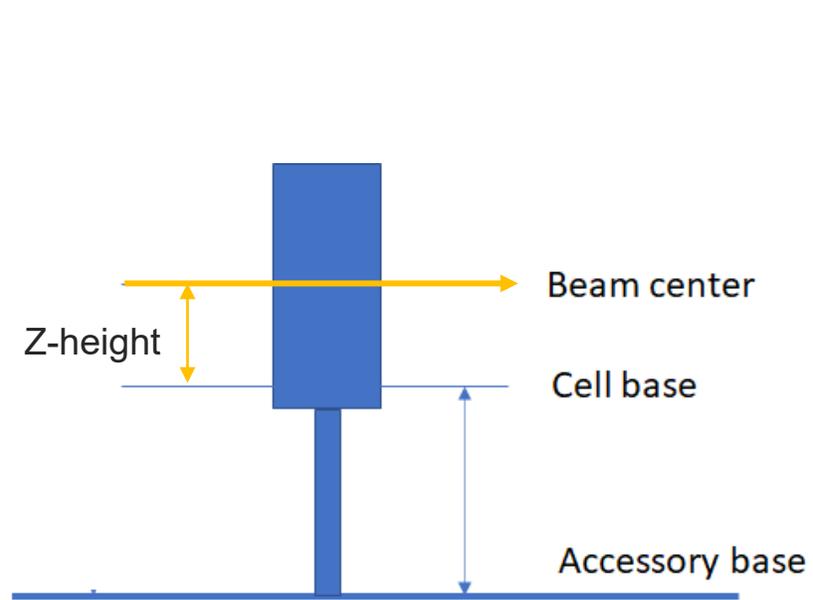


Disposable micro cell

Submicro quartz cell



# Z-height



# Solvents

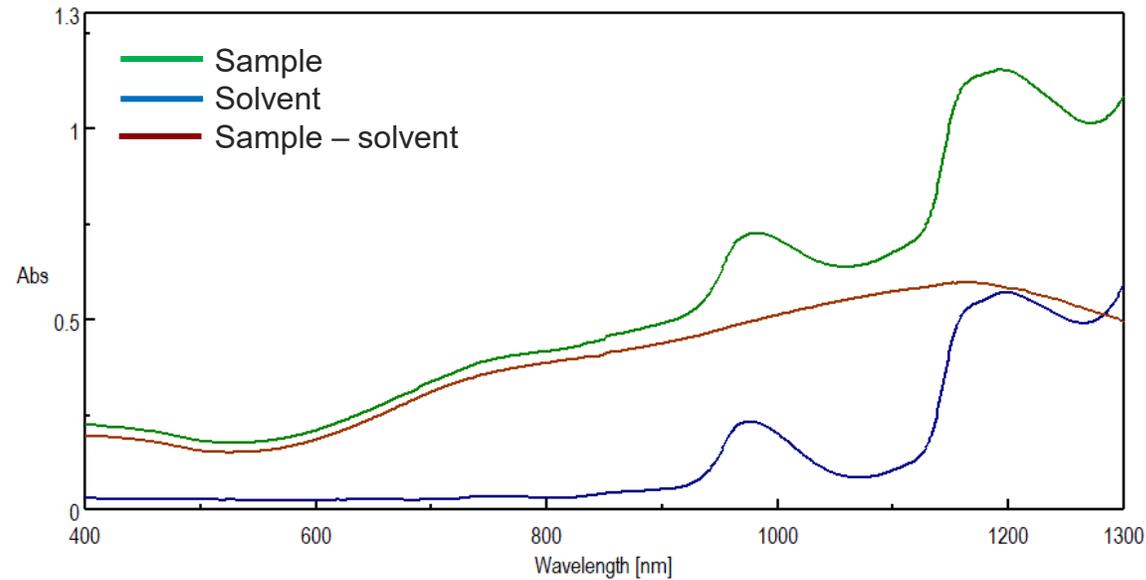
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- Solubility
- Stability: the sample does not denature and decompose
- Transparency in the measuring wavelength region

Solvent	
	1 cm
Ethanol/Methanol (4:1)	~220
Distilled Water	~185
10 mM Sodium Phosphate	
0.1 M Sodium Phosphate	
0.1 M Sodium Chloride	
0.1 M Tris-HCl	
0.1 M Ammonium Citrate	
Methanol	~210
Ethanol	~220
Hexanes	~210
Chloroform	~240
Trifluoroacetic Acid	~260
THF	~220

# Baseline Measurements

Solvent and cell will absorb or reflect light so the cell with buffer solvent needs to be measured as a reference.



# Baseline Measurements

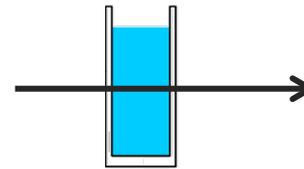
Baseline Measurement

Reference Beam



$R_{Base}$

Sample Beam



$S_{Base}$

$$\text{Baseline} = \frac{S_{base}}{R_{base}} \times 100$$

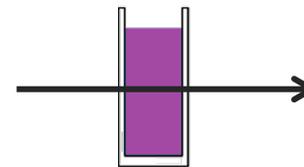
Sample Measurement

Reference Beam



$R_{Base}$

Sample Beam



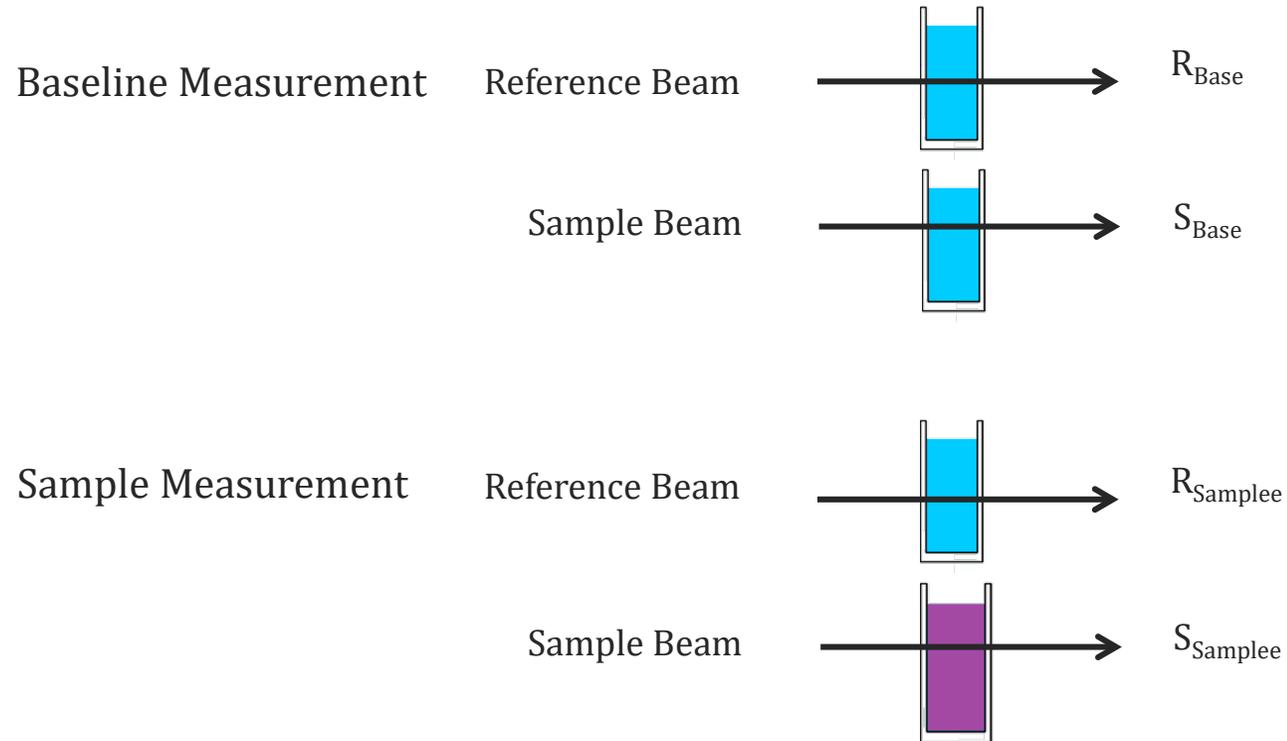
$S_{Sample}$

$$\text{Sample} = \frac{S_{sample}}{R_{base}} \times 100$$

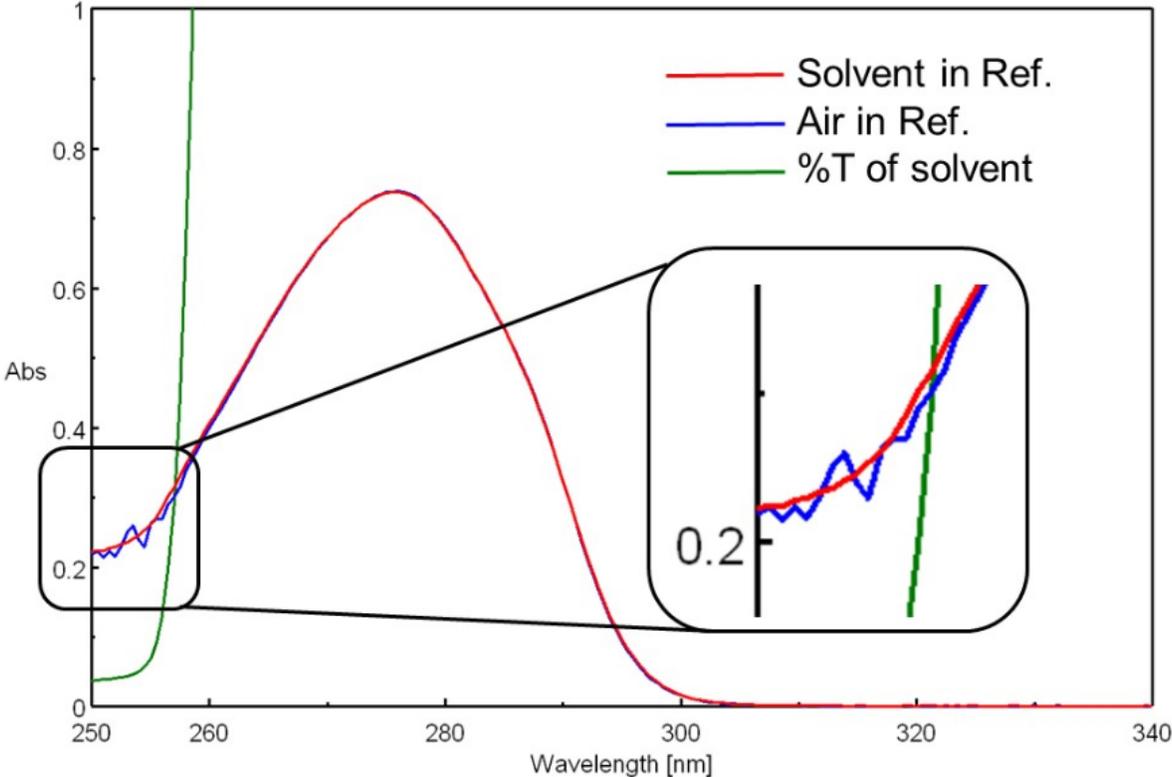
$$T = \frac{\text{Sample}}{\text{Baseline}} \times 100 = \frac{S_{sample}/R_{base} \times 100}{S_{base}/R_{base} \times 100} \times 100 = \frac{S_{sample}}{S_{base}} \times 100$$

# Baseline Measurements

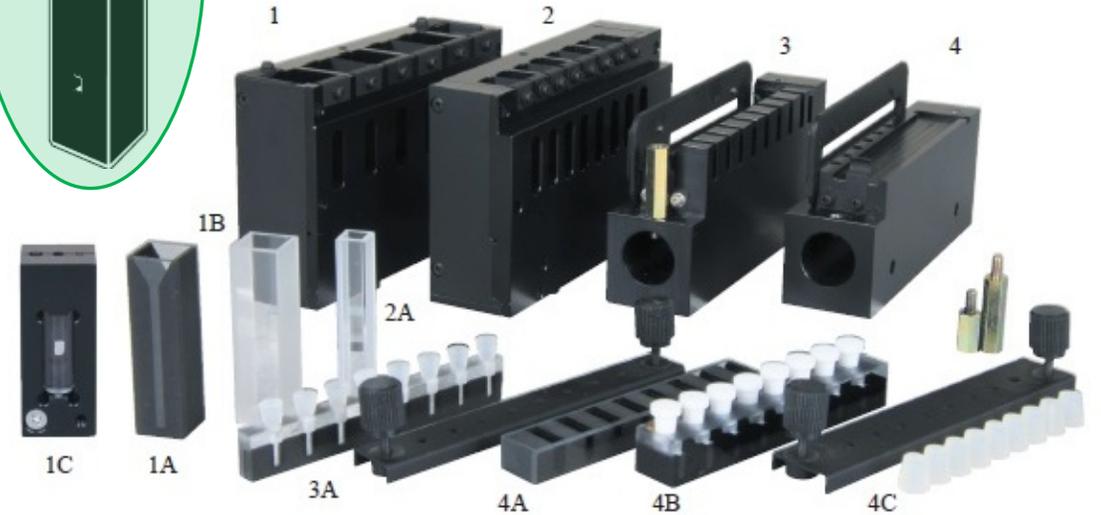
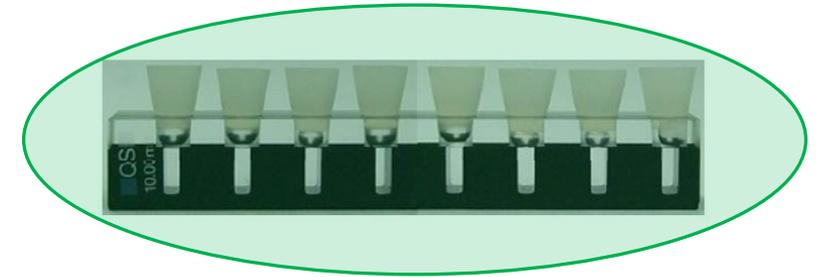
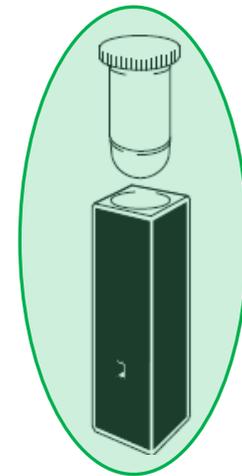
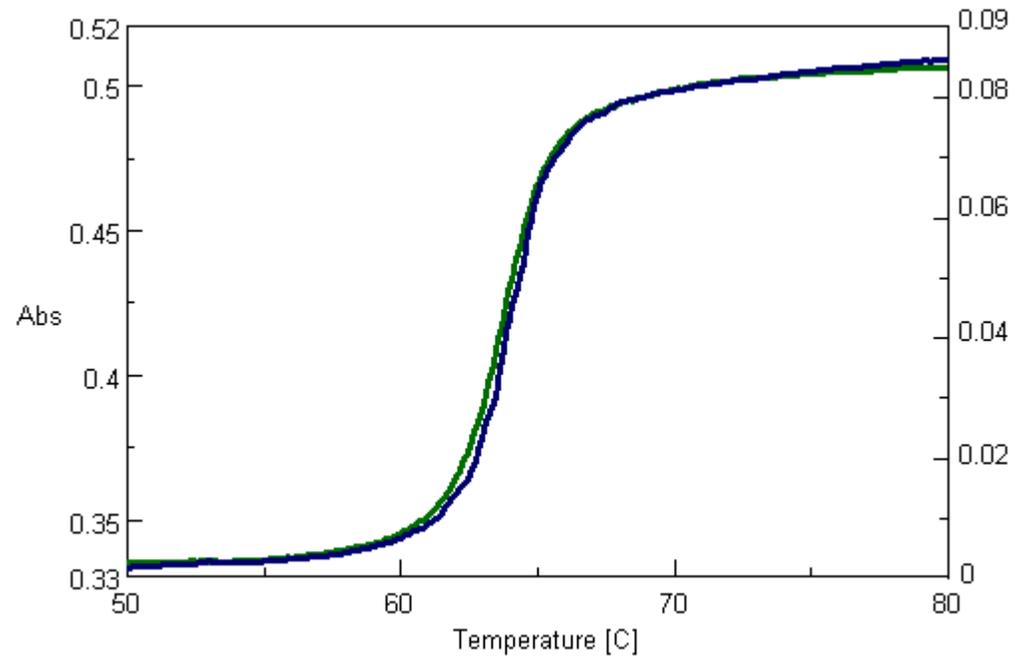
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# Baseline Measurements

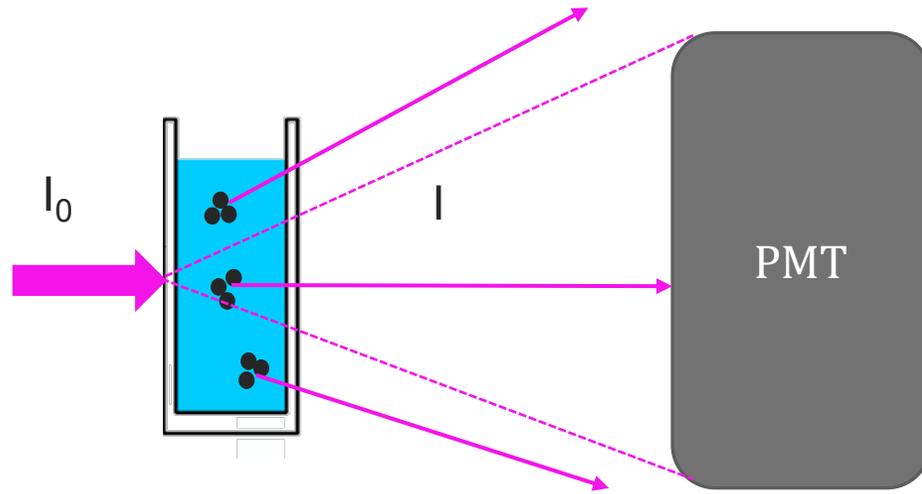


# Temperature Studies

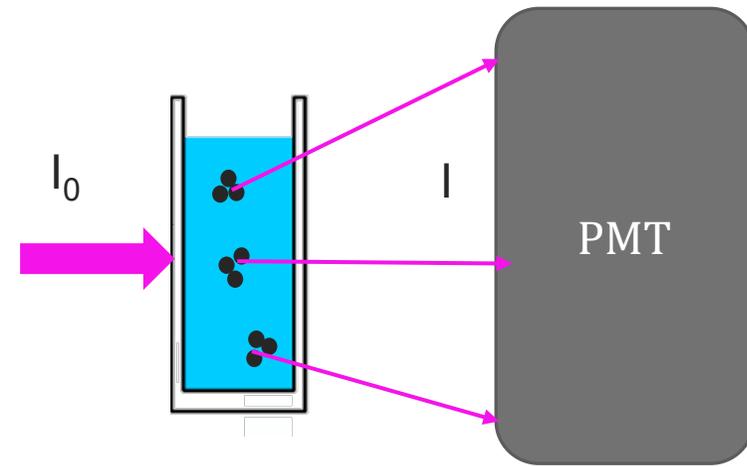


# Cloudy/turbid liquid samples

Increase in apparent absorbance due to light loss

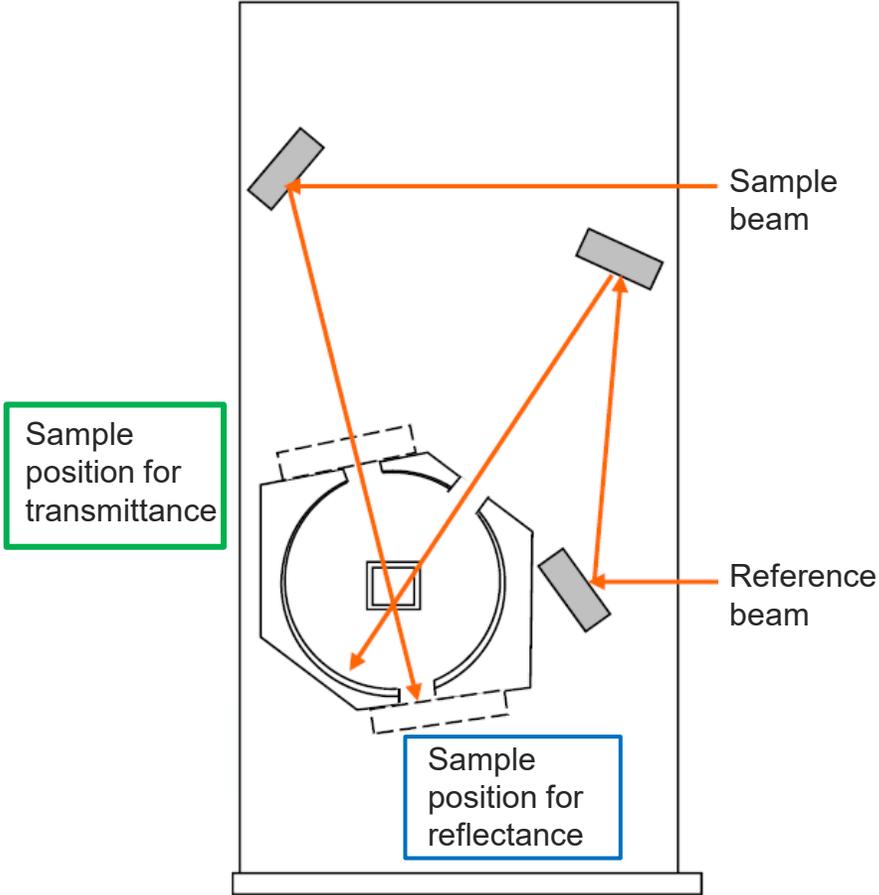
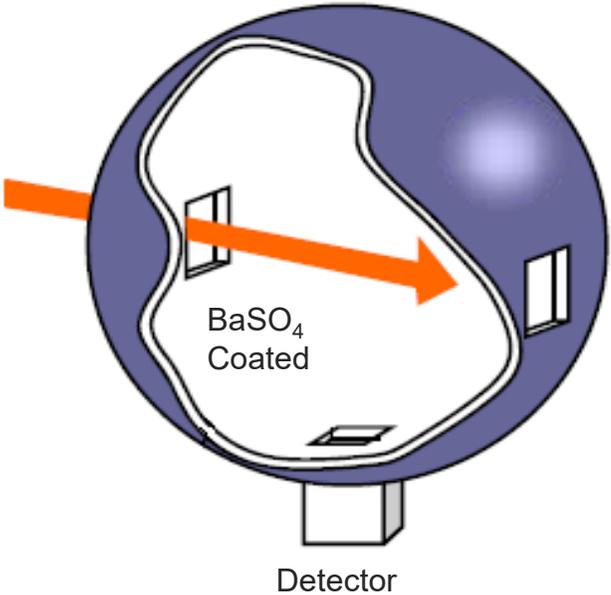


Standard sample position

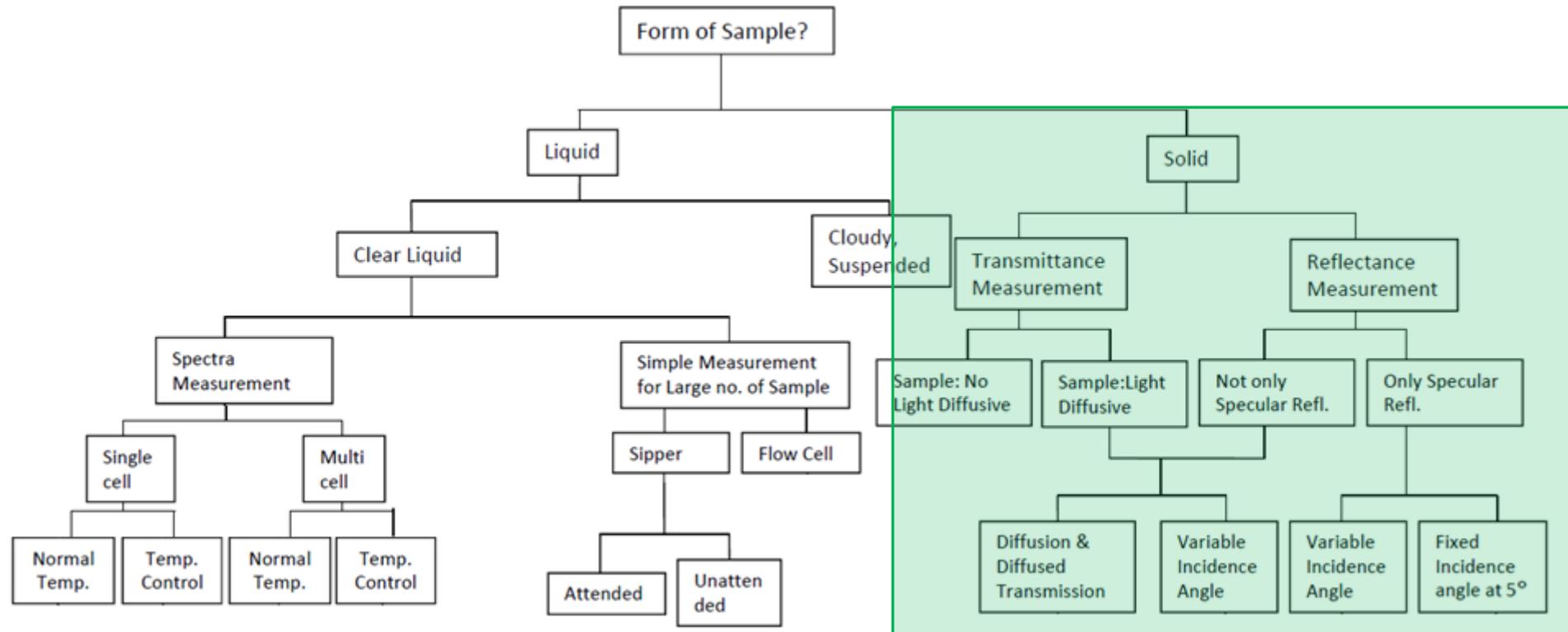


Sample closer to detector

# Integrating spheres

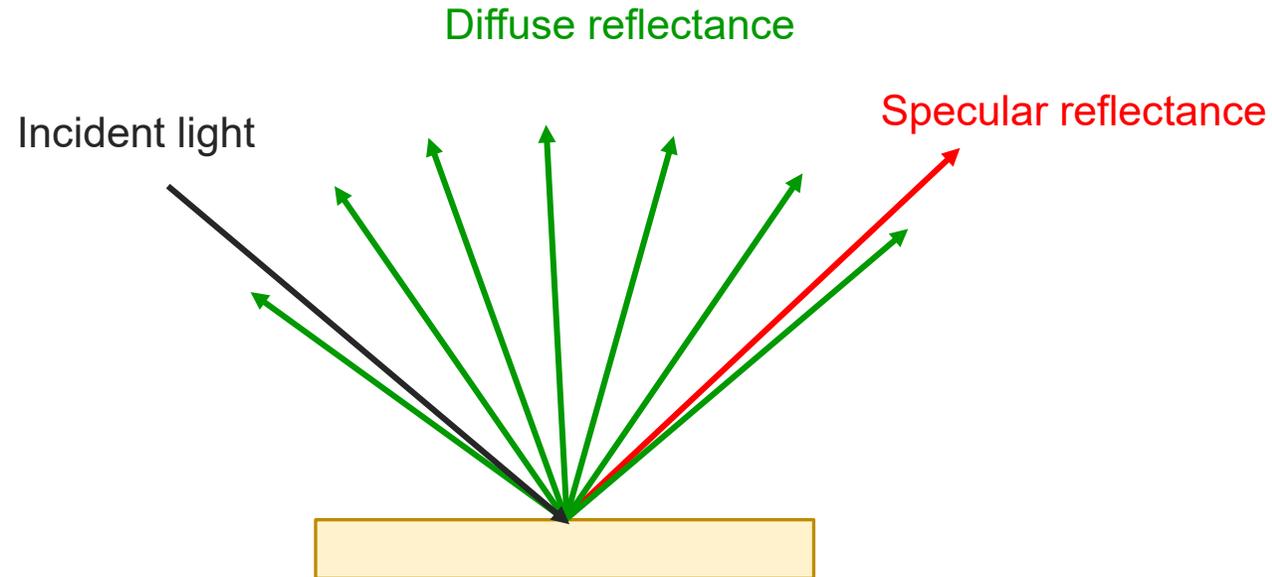


# Sampling: Solids



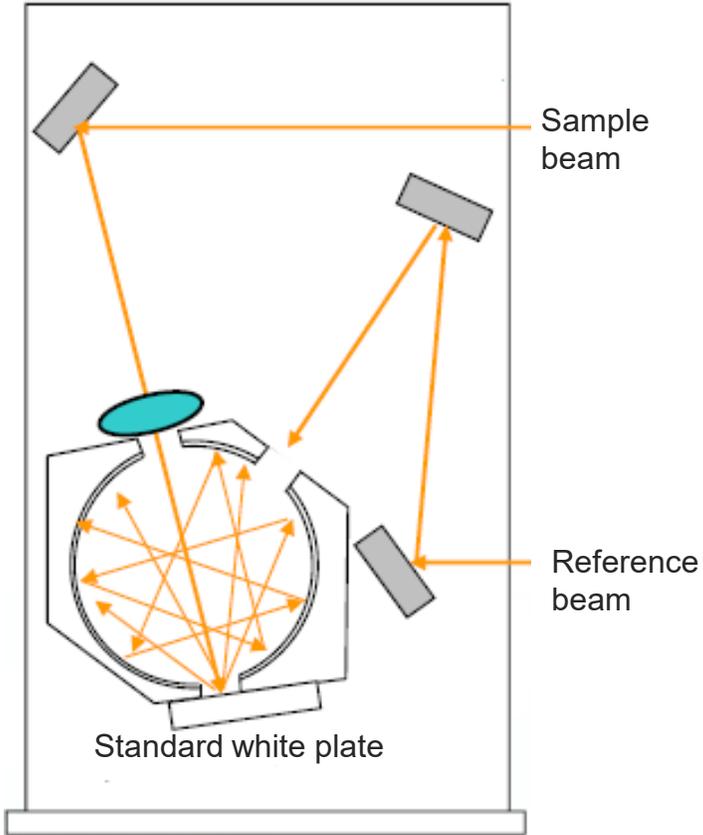
# Reflectance

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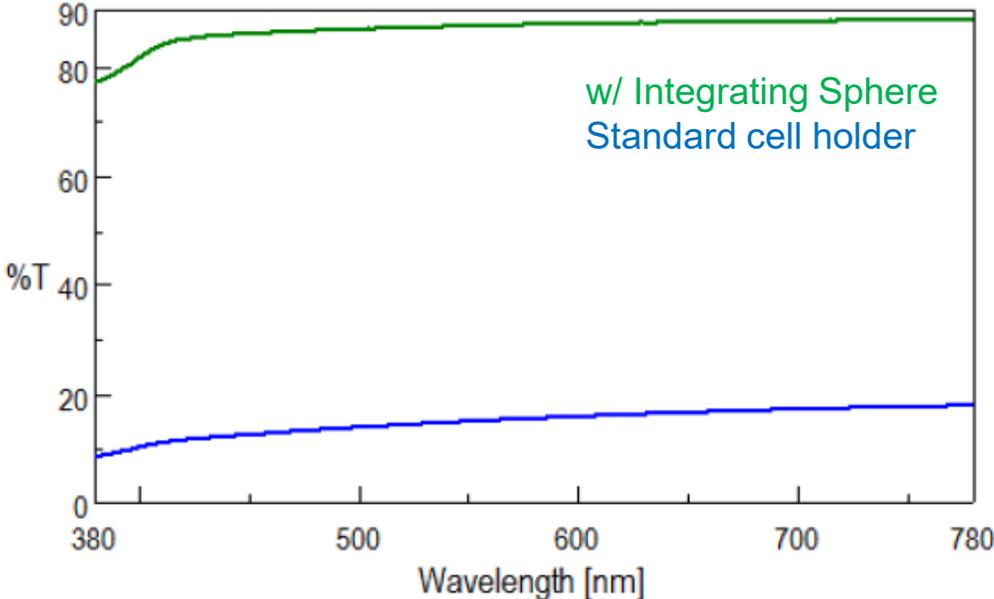
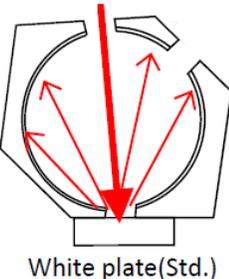


- Relative reflectance: ratios sample reflectance to standard surface reflectance
- Absolute: ratios sample reflectance to reflectance from known output source

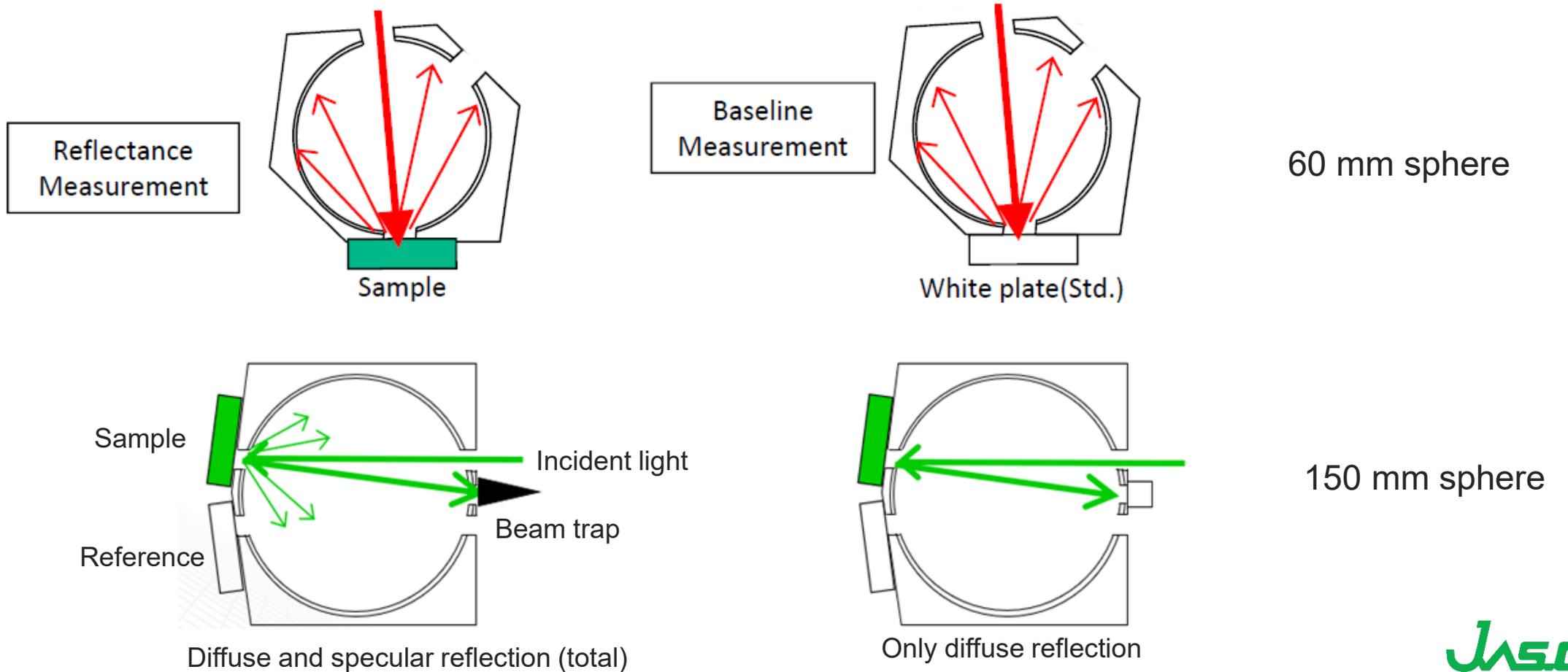
# Diffuse Transmittance Measurements



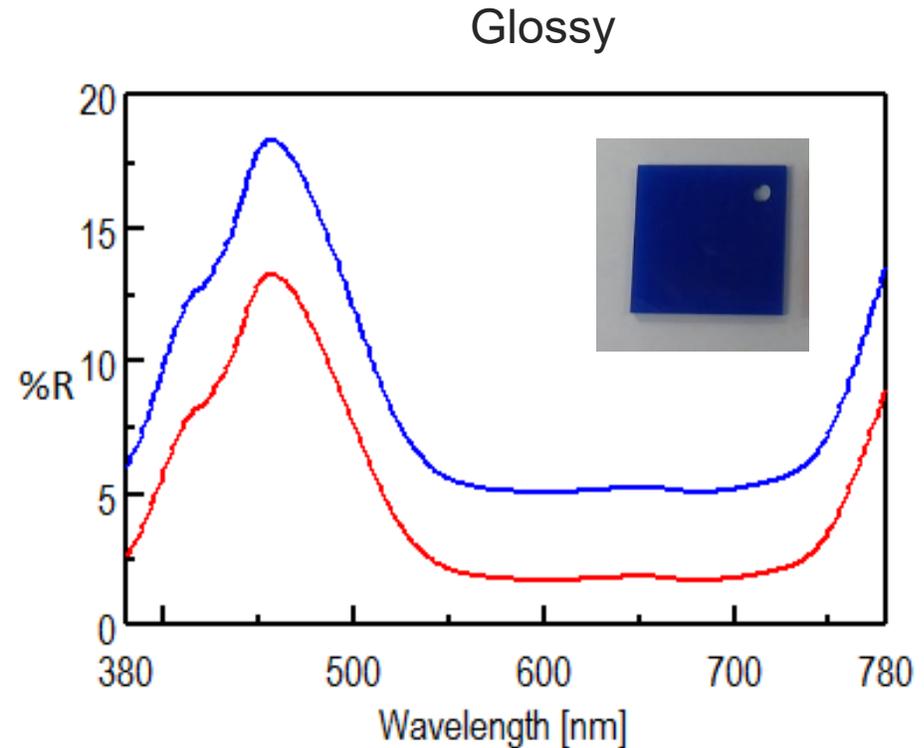
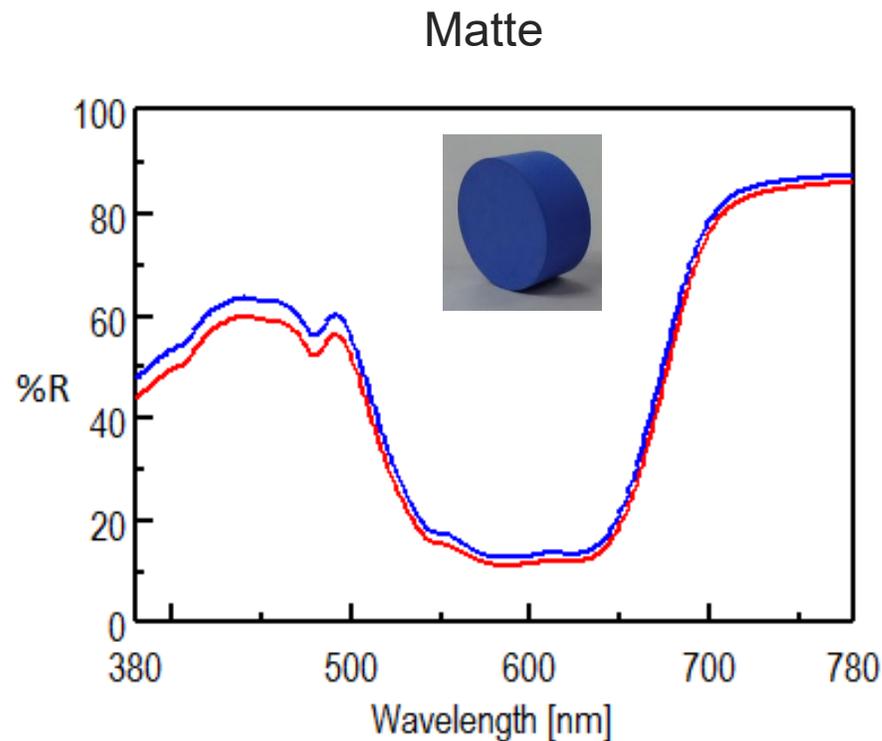
Baseline measurement



# Diffuse and Total Reflectance Measurements



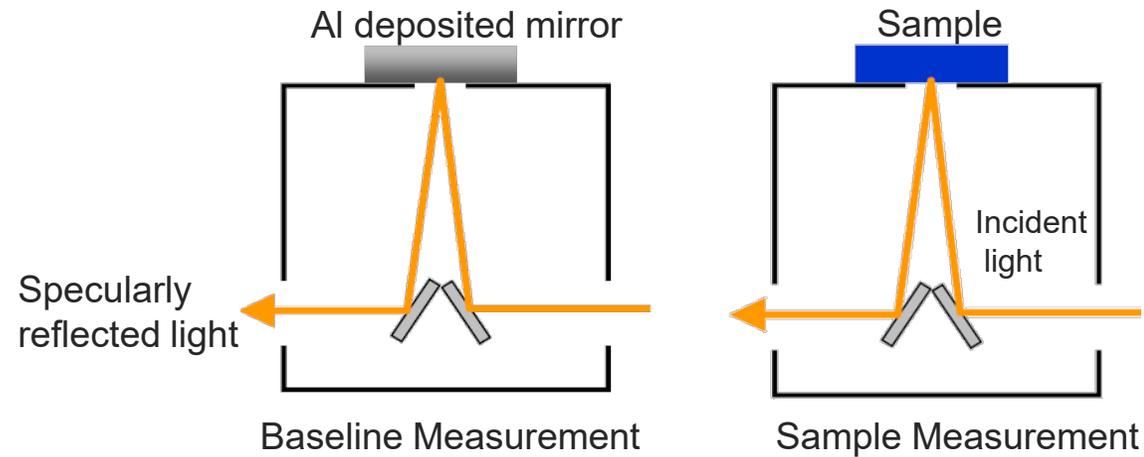
# Diffuse and Specular Surfaces



— with specular component  
— without specular component

# Specular reflectance accessory

Ratio of the amount of light reflected from the sample to the amount of light reflected off the reference mirror.



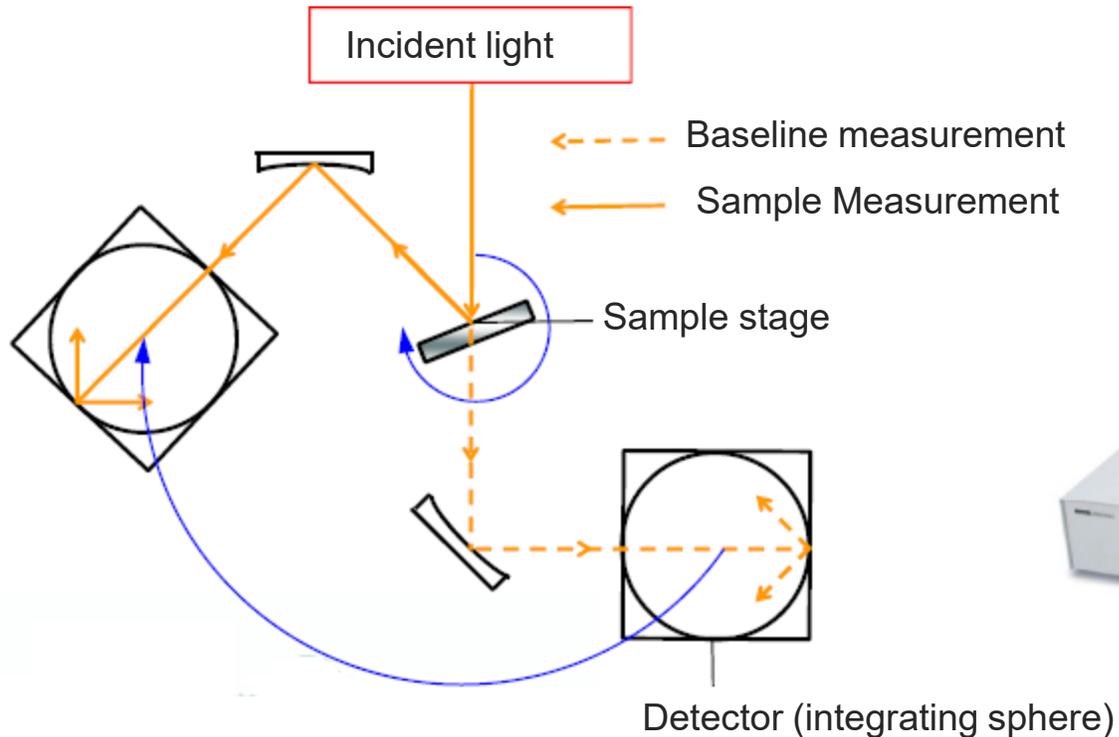
$$\%R = \frac{I_{Sample}}{I_{Al}} \times 100$$



# Absolute Reflectance Measurements

$$\%R = \frac{I}{I_0} \times 100$$

%R is the amount of reflected light of the sample against the amount of incident light



# Summary

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- UV-Vis/NIR spectroscopy probes the absorption, transmission, and reflection of solid, liquids, films, and powders between 190 – 3200 nm.
- Double beam instruments use a reference beam to account for fluctuations in light intensity,  $I_0$ , to provide accurate measurements when ratioing the transmitted to incident light.
- The Beer-Lambert law is used to calculate sample concentration and describes how light is attenuated due to sample concentration, pathlength, and the strength of its electronic transition. Stray light introduces deviations to the Beer-Lambert law, leading to inaccurate absorbances and therefore concentrations.
- The cuvette material and sampling volumes need to be considered when selecting an appropriate cuvette for liquid measurements.
- Integrating spheres can be used to collect any scattered light that won't normally reach the detector's surface for cloudy suspensions or solids.

# JASCO Educational Resources

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## Webinars:

- Vibrational Circular Dichroism
- Fluorescence Spectroscopy
- FTIR Theory, Instrumentation, and Techniques
- FTIR Microscopy
- Circular Dichroism Theory and Applications
- Circular Dichroism Measurement Optimization
- Raman Microscopy and Imaging
- SFC Theory and Applications

## E-books and Tips and Tricks Posters

- Raman
- Fluorescence
- FTIR
- CD

## KnowledgeBase

**NEXT WEBINAR WILL BE A LIVE RAMAN  
MICROSCOPY DEMO**

**DR. CARLOS MORILLO  
TUESDAY JUNE 23<sup>RD</sup> AT 2:00 PM EDT**

*Thank you for attending our UV-  
Vis/NIR Webinar!*

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ANY QUESTIONS?

**JASCO**